

## Objectives

- Directed Graphs
- Topological Orderings
- DAGs

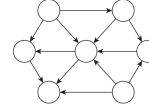
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## Review: Directed Graphs $G = (V, E)$

- Edge  $(u, v)$  goes from node  $u$  to node  $v$



- Representation
  - Maintain both in and out edges of each node

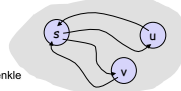
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## Review: Strong Connectivity

- **Def.** Node  $u$  and  $v$  are **mutually reachable** if there is a path from  $u \rightarrow v$  and also a path from  $v \rightarrow u$
- **Def.** A graph is **strongly connected** if every pair of nodes is mutually reachable
- **Lemma.** Let  $s$  be any node.  $G$  is strongly connected **iff** every node is reachable from  $s$  and  $s$  is reachable from every node



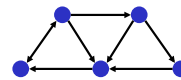
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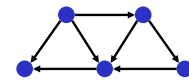
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## Review: Strong Connectivity Problem

- Determine if  $G$  is strongly connected in  $O(m + n)$  time



strongly connected



not strongly connected

Hint: We can leverage an  $O(m+n)$  algorithm

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## Strong Components

- For any two nodes  $s$  and  $t$  in a directed graph, their strong components are either identical or disjoint

Hint: Consider a node in common...

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## Strong Components

- For any two nodes  $s$  and  $t$  in a directed graph, their strong components are either identical or disjoint
- **Proof.**
  - Consider  $v$  in both strong components
    - $s \rightarrow v; v \rightarrow s; v \rightarrow t; t \rightarrow v \Rightarrow t \rightarrow s, s \rightarrow t$  (mutually reachable)
    - As soon as there is one common node, then have identical strong components
  - On the other hand, consider  $s$  and  $t$  are not mutually reachable
    - No node  $v$  that is in the strong component of each
      - What would it mean if there were?

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## DAGS AND TOPOLOGICAL ORDERING

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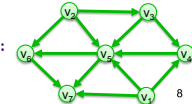
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## Directed Acyclic Graphs

- **Def.** A **DAG** is a directed graph that contains no directed cycles.
- **Example.** Precedence constraints: edge  $(v_i, v_j)$  means  $v_i$  must precede  $v_j$ 
  - Course prerequisite graph: course  $v_i$  must be taken before  $v_j$
  - Compilation: module  $v_i$  must be compiled before  $v_j$
  - Pipeline of computing jobs: output of job  $v_i$  needed to determine input of job  $v_j$

a DAG:



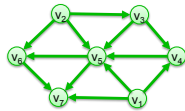
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## Problem: Valid Ordering

- **Problem:** Given a set of tasks with dependencies, what is a valid order in which the tasks could be performed?



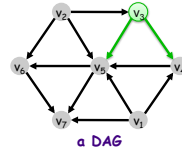
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## Topological Ordering

- **Problem:** Given a set of tasks with dependencies, what is a valid order in which the tasks could be performed?
- **Def.** A **topological order** of a directed graph  $G = (V, E)$  is an ordering of its nodes as  $v_1, v_2, \dots, v_n$  so that for every edge  $(v_i, v_j)$ ,  $i < j$ .



a DAG

a topological ordering  
All edges point "forward"

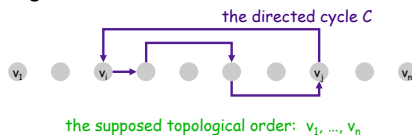
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## DAGs & Topological Orderings

- **Lemma.** If  $G$  has a topological order, then  $G$  is a DAG.
- **Proof:** What if  $G$  has a cycle *and* a topological order?



Why isn't this valid?

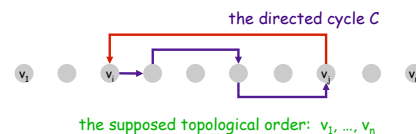
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## DAGs & Topological Orderings

- **Lemma.** If  $G$  has a topological order, then  $G$  is a DAG.
- **Pf.** (by contradiction)
  - Suppose that  $G$  has a topological order  $v_1, \dots, v_n$  and that  $G$  also has a directed cycle  $C$ .
  - Let  $v_i$  be the lowest-indexed node in  $C$ , and let  $v_j$  be the node on  $C$  just before  $v_i$ ; thus  $(v_j, v_i)$  is an edge.
  - By our choice of  $i$  (lowest-indexed node),  $i < j$ .
  - Since  $(v_i, v_j)$  is an edge and  $v_1, \dots, v_n$  is a topological order, we must have  $j < i$ , a contradiction. \*



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## DAGs & Topological Orderings

- Does every DAG have a topological ordering?
  - If so, how do we compute one?

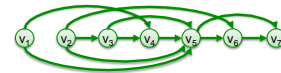
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## DAGs & Topological Orderings

- Does every DAG have a topological ordering?
  - If so, how do we compute one?
- What would we need to be able to create a topological ordering?
  - What are some characteristics of this graph?



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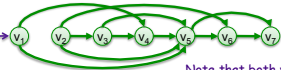
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## DAGs & Topological Orderings

- Does every DAG have a topological ordering?
  - If so, how do we compute one?
- What would we need to be able to create a topological ordering?
  - What are some characteristics of this graph?

Need someplace to start:  
a node with no incoming  
edges (no dependencies)



Note that both  $v_1$  and  $v_2$   
have no incoming edges

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## DAGs & Topological Orderings

- Lemma.** If  $G$  is a DAG, then  $G$  has a node with no incoming edges
  - This is our starting point of the topological ordering
- How to prove?

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## DAGs & Topological Orderings

- Lemma.** If  $G$  is a DAG, then  $G$  has a node with no incoming edges
- Proof idea:** Consider if there is no node without incoming edges
  - What does that mean?
  - How can we get to a contradiction?

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## DAGs & Topological Orderings

- Lemma.** If  $G$  is a DAG, then  $G$  has a node with no incoming edges.
- Pf.** (by contradiction)
  - Suppose that  $G$  is a DAG and every node has at least one incoming edge
  - Pick any node  $v$ , and follow edges backward from  $v$ .
    - Since  $v$  has at least one incoming edge  $(u, v)$ , walk backward to  $u$
    - Since  $u$  has at least one incoming edge  $(t, u)$ , walk backward to  $t$
    - Repeat until we visit a node, say  $k$ , twice
      - Has to happen at least by  $n+1$  steps (Why?)
    - Let  $C$  denote the sequence of nodes encountered between successive visits to  $k$ .  $C$  is a cycle.



## Creating a Topological Order

- **Claim:** If there is a node with no incoming edges, can create a topological ordering
- Think about a DAG with only one node. What is its topological ordering?
- Only two nodes?
- Three nodes?
  - What are the DAG, TO possibilities?

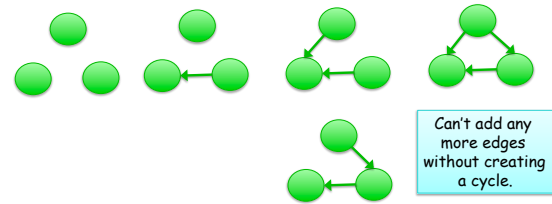
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## Topological Order for Three Nodes

- What are the possibilities?



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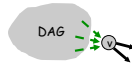
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## DAGs & Topological Orderings

- **Lemma.** If  $G$  is a DAG, then  $G$  has a topological ordering.
- **Pf.** (by induction on  $n$ )
  - Base case: true if  $n = 1$
  - Given DAG on  $n > 1$  nodes, find a node  $v$  with no incoming edges
  - $G - \{v\}$  is a DAG, since deleting  $v$  cannot create cycles
  - By inductive hypothesis,  $G - \{v\}$  has a topological ordering
  - Place  $v$  first in topological ordering; then append nodes of  $G - \{v\}$  in topological order.
  - Valid since  $v$  has no incoming edges. ■

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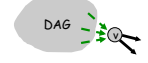
## DAGs & Topological Orderings

- **Lemma.** If  $G$  is a DAG, then  $G$  has a topological ordering.
- **Algorithm:**

```
Find a node v with no incoming edges
Order v first
Delete v from G
Recursively compute a topological ordering of G-{v}
and append this order after v
```

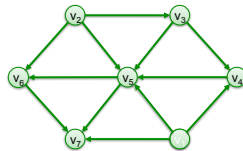
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## Topological Ordering Algorithm: Example



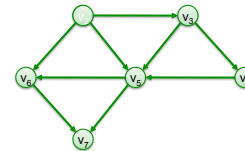
Topological order:

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## Topological Ordering Algorithm: Example

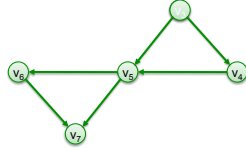
Topological order:  $v_1$ 

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### Topological Ordering Algorithm: Example



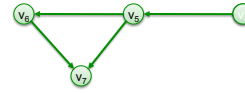
Topological order:  $v_1, v_2$

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### Topological Ordering Algorithm: Example



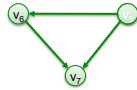
Topological order:  $v_1, v_2, v_3$

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### Topological Ordering Algorithm: Example



Topological order:  $v_1, v_2, v_3, v_4$

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### Topological Ordering Algorithm: Example



Topological order:  $v_1, v_2, v_3, v_4, v_5$

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### Topological Ordering Algorithm: Example



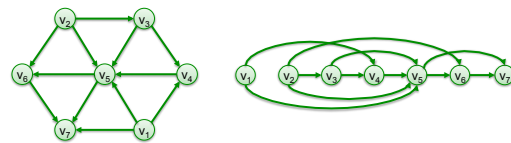
Topological order:  $v_1, v_2, v_3, v_4, v_5, v_6$

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### Topological Ordering Algorithm: Example



Topological order:  $v_1, v_2, v_3, v_4, v_5, v_6, v_7$

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## Topological Order Runtime

- Where are the costs?

```
Find a node  $v$  with no incoming edges
Order  $v$  first
Delete  $v$  from  $G$ 
Recursively compute a topological ordering of  $G-\{v\}$ 
and append this order after  $v$ 
```

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## Topological Order Runtime

- Where are the costs?

```
Find a node  $v$  with no incoming edges
Order  $v$  first
Delete  $v$  from  $G$ 
Recursively compute a topological ordering of  $G-\{v\}$ 
and append this order after  $v$ 
```

- Find a node without incoming edges and delete it:  $O(n)$
- Repeat on all nodes
- $\rightarrow O(n^2)$

Can we do better?

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## Topological Sorting Algorithm: Running Time

- Theorem.** Find a topological order in  $O(m + n)$  time
- Pf.**
  - Maintain the following information:
    - $\text{count}[w]$  = remaining number of incoming edges
    - $S$  = set of remaining nodes with no incoming edges
  - Initialization:  $O(m + n)$  via single scan through graph
  - Update: to delete  $v$ 
    - Remove  $v$  from  $S$
    - Decrement  $\text{count}[w]$  for all edges from  $v$  to  $w$ 
      - Add  $w$  to  $S$  if  $\text{count}[w]$  hits 0
  - $O(1)$  per edge

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## Extra Credit Opportunity

- Talk: "A Mathematician's Year on Capitol Hill"**
- Katherine Crowley, W&L Mathematics Department
- Time: Wednesday, February 3, 3:30 p.m.
- Place: Robinson Hall, Room 6
- 10 points towards problem set grade

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## Assignments

- Finish reading Chapter 3
  - Wikis for Wednesday
- For Friday: Problem Set 3
- Friday: Handout exam
  - Next Wednesday – work period
    - Ask questions

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