

## Objectives

- Oh, the places you've been!
- Oh, the places you'll go!

Now, everything comes down to expert knowledge of **algorithms** and **data structures**. If you don't speak fluent **O-notation**, you may have trouble getting your next job at the technology companies in the forefront.

-- Larry Freeman

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## Algorithm Design Patterns

- What are some approaches to solving problems?
- How do they compare in terms of difficulty?

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## Algorithm Design Patterns

- Greedy
- Divide-and-conquer
- Dynamic programming
- Duality/network flow

### Course Objectives: Given a problem...

You'll recognize when to try an approach  
 -AND, when to bail out and try something different  
 Know the steps to solve the problem using the approach  
 - e.g., breaking it into subproblems, sorting possibilities in some order  
 Know how to **analyze** the run time of the solution  
 - e.g., solving recurrence relation

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## Algorithm Design Patterns

- Greedy
- Divide-and-conquer
- Dynamic programming
- Duality/network flow
- **Reductions – Chapter 8**
- **Local search – Chapter 12**
- **Randomization – Chapter 13**

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## What Was Our Goal In Finding a Solution?

Polynomial Time → Efficient

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## POLYNOMIAL-TIME REDUCTIONS

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## Classify Problems According to Computational Requirements

**Fundamental Question:**  
Which problems will we be able to solve in practice?

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## Classify Problems According to Computational Requirements

Which problems will we be able to solve in practice?

- **Working definition.** [Cobham 1964, Edmonds 1965, Rabin 1966] Those with polynomial-time algorithms.

Yes	Probably no
Shortest path	Longest path
Matching	3D-matching
Min cut	Max cut
2-SAT	3-SAT
Planar 4-color	Planar 3-color
Bipartite vertex cover	Vertex cover
Primality testing	Factoring

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## Classify Problems

Classify problems according to those that can be solved in polynomial-time and those that cannot.



**Frustrating news:** Many problems have defied classification.

**Chapter 8.** Show that problems are "computationally equivalent" and appear to be manifestations of one *really hard* problem.

**Examples:**

- Given a Turing machine, does it halt in at most  $k$  steps?
- Given a board position in an  $n$ -by- $n$  generalization of chess, can black guarantee a win?

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## Polynomial-Time Reduction

Suppose we could solve Y in polynomial-time.  
What else could we solve in polynomial time?

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## Polynomial-Time Reduction

Suppose we could solve Y in polynomial-time.  
What else could we solve in polynomial time?

- **Reduction.** Problem X *polynomially reduces to* problem Y if arbitrary instances of problem X can be solved using:
  - Polynomial number of standard computational steps, *plus*
  - Polynomial number of calls to **oracle** that solves problem Y
    - Assume have a black box that can solve Y
- **Notation:**  $X \leq_p Y$ 
  - "X is polynomial-time reducible to Y"
- **Conclusion:** If X can be solved in polynomial time and  $Y \leq_p X$ , then Y can be solved in polynomial time.

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## NP Complete Problems

- Problems from many different domains whose complexity is unknown
- NP-completeness and proof that all problems are equivalent is **POWERFUL!**
  - All open complexity questions → **ONE** open question!
- What does this mean?
  - "Computationally hard for practical purposes but we can't prove it"
  - If you find an NP-Complete problem, you can stop looking for an efficient solution
    - Or figure out efficient solution for ALL NP-complete problems

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## Polynomial-Time Reduction

- **Purpose.** Classify problems according to *relative difficulty*.
- **Design algorithms.** If  $X \leq_P Y$  and  $Y$  can be solved in polynomial-time, then  $X$  **can** also be solved in polynomial time.
- **Establish intractability.** If  $X \leq_P Y$  and  $X$  cannot be solved in polynomial-time, then  $Y$  **cannot** be solved in polynomial time. Discuss
- **Establish equivalence.** If  $X \leq_P Y$  and  $Y \leq_P X$ , we use notation  $X \equiv_P Y$ .

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## Basic Reduction Strategies

- *Reduction by simple equivalence*
- Reduction from special case to general case
- Reduction by encoding with gadgets

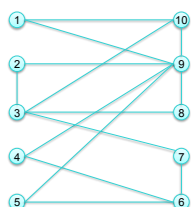
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## Independent Set

- Given a graph  $G = (V, E)$  and an integer  $k$ , is there a subset of vertices  $S \subseteq V$  such that  $|S| \geq k$  and for each edge at most one of its endpoints is in  $S$ ?



Ex. Is there an independent set of size  $\geq 6$ ?  
 Ex. Is there an independent set of size  $\geq 7$ ?

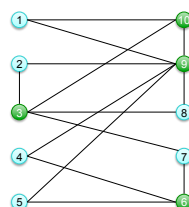
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Ex. Is there an independent set of size  $\geq 6$ ? **Yes**  
 Ex. Is there an independent set of size  $\geq 7$ ? **No**

● independent set

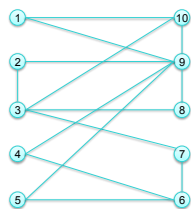
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## Vertex Cover

- Given a graph  $G = (V, E)$  and an integer  $k$ , is there a subset of vertices  $S \subseteq V$  such that  $|S| \leq k$  and for each edge, at least one of its endpoints is in  $S$ ?



A vertex **covers** an edge.

**Application:** place guards within an art gallery so that all corridors are visible at any time

Ex. Is there a vertex cover of size  $\leq 4$ ?  
 Ex. Is there a vertex cover of size  $\leq 3$ ?

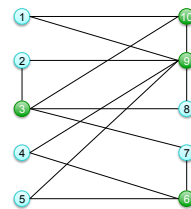
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## Vertex Cover

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Ex. Is there a vertex cover of size  $\leq 4$ ? **Yes**  
 Ex. Is there a vertex cover of size  $\leq 3$ ? **No**

● vertex cover

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## Problem

- Not known if either Independent Set or Vertex Cover can be solved in polynomial time
- BUT, what can we say about their relative difficulty?

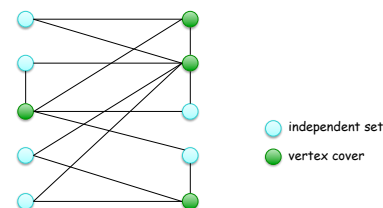
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## Vertex Cover and Independent Set

- **Claim.** VERTEX-COVER  $\equiv_P$  INDEPENDENT-SET
- **Pf.** We show  $S$  is an independent set iff  $V - S$  is a vertex cover



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## Vertex Cover and Independent Set

- **Claim.** VERTEX-COVER  $\equiv_P$  INDEPENDENT-SET
- **Pf.** We show  $S$  is an independent set iff  $V - S$  is a vertex cover
- $\Rightarrow$ 
  - Let  $S$  be any independent set
  - Consider an arbitrary edge  $(u, v)$
  - Since  $S$  is an independent set  $\Rightarrow u \notin S$  or  $v \notin S \Rightarrow u \in V - S$  or  $v \in V - S$
  - Thus,  $V - S$  covers  $(u, v)$ 
    - Every edge has one end in  $V - S$
  - $V - S$  is a vertex Cover

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## Vertex Cover and Independent Set

- **Claim.** VERTEX-COVER  $\equiv_P$  INDEPENDENT-SET
- **Pf.** We show  $S$  is an independent set iff  $V - S$  is a vertex cover
- $\Leftarrow$ 
  - Let  $V - S$  be any vertex cover
  - Consider two nodes  $u \in S$  and  $v \in S$
  - Observe that  $(u, v) \notin E$  since  $V - S$  is a vertex cover
  - Thus, no two nodes in  $S$  are joined by an edge  $\Rightarrow S$  independent set

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## Basic Reduction Strategies

- Reduction by simple equivalence
- *Reduction from special case to general case*
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## Set Cover

- **SET COVER:** Given a set  $U$  of elements, a collection  $S_1, S_2, \dots, S_m$  of subsets of  $U$ , and an integer  $k$ , does there exist a collection of  $\leq k$  of these sets whose union is equal to  $U$ ?
- **Sample application**
  - $m$  available pieces of software
  - Set  $U$  of  $n$  capabilities that we would like our system to have
  - The  $i^{\text{th}}$  piece of software provides the set  $S_i \subseteq U$  of capabilities
  - **Goal:** achieve all  $n$  capabilities using fewest pieces of software
- **Ex:**

$U = \{1, 2, 3, 4, 5, 6, 7\}$	
$k = 2$	
$S_1 = \{3, 7\}$	$S_4 = \{2, 4\}$
$S_2 = \{3, 4, 5, 6\}$	$S_5 = \{5\}$
$S_3 = \{1\}$	$S_6 = \{1, 2, 6, 7\}$

Choose  $S_2$  and  $S_6$

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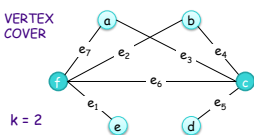
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## Vertex Cover Reduces to Set Cover

- **Claim.** VERTEX-COVER  $\leq_p$  SET-COVER
- **Pf.** Given a VERTEX-COVER instance  $G = (V, E)$ ,  $k$ , we construct a set cover instance whose size equals the size of the vertex cover instance.

➤ ...

VERTEX  
COVER



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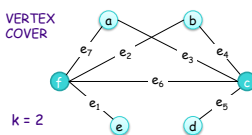
SET COVER

$U = \{1, 2, 3, 4, 5, 6, 7\}$   
 $k = 2$   
 $S_a = \{3, 7\}$      $S_b = \{2, 4\}$   
 $S_c = \{3, 4, 5, 6\}$      $S_d = \{5\}$   
 $S_e = \{1\}$      $S_f = \{1, 2, 6, 7\}$

## Vertex Cover Reduces to Set Cover

- **Claim.** VERTEX-COVER  $\leq_p$  SET-COVER
- **Pf.** Given a VERTEX-COVER instance  $G = (V, E)$ ,  $k$ , we construct a set cover instance whose size equals the size of the vertex cover instance.
- **Construction.**
  - Create SET-COVER instance:
    - $k = k$ ,  $U = E$ ,  $S_v = \{e \in E : e \text{ incident to } v\}$
  - Set-cover of size  $\leq k$  iff vertex cover of size  $\leq k$ .

VERTEX  
COVER



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SET COVER

$U = \{1, 2, 3, 4, 5, 6, 7\}$   
 $k = 2$   
 $S_a = \{3, 7\}$      $S_b = \{2, 4\}$   
 $S_c = \{3, 4, 5, 6\}$      $S_d = \{5\}$   
 $S_e = \{1\}$      $S_f = \{1, 2, 6, 7\}$

## For Friday

- Problem set
- Post on Sakai:
  - Brief overview statement (what is the article about)
  - 3 most important points
  - Questions: either for discussion or for understanding

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