

## Objectives

- Wrap-up Dijkstra's Algorithm
- Minimum Spanning Tree

Feb 11, 2011

CSCI211 - Sprenkle

1

## Announcements, Discussion

- Wiki readings
  - Low risk, high reward assignments
  - Helpful feedback
  - Process: follow book closely
  - Next Wed: Chap 3.6, 4, 4.1, 4.2, 4.4,
- Jeopardy! Challenge
  - Monday – Wednesday
  - 7:30 on CBS
  - Answer questions on Sakai forum for 5 pts towards your problem set grade

Feb 11, 2011

CSCI211 - Sprenkle

2

## Review: Greedy Algorithms and Dijkstra's Algorithm

- What are greedy algorithms?
- What was the greedy algorithm to find the shortest path in a weighted directed graph?

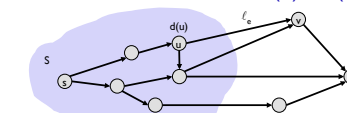
Feb 11, 2011

CSCI211 - Sprenkle

3

## Dijkstra's Algorithm: Analysis

1. Maintain a set of explored nodes  $S$ 
  - Know the shortest path distance  $d(u)$  from  $s$  to  $u$
2. Initialize  $S=\{s\}$ ,  $d(s)=0$ ,  $\forall u \neq s$ ,  $d(u)=\infty$
3. Repeatedly choose unexplored node  $v$  which minimizes  $\pi(v) = \min_{e=(u,v): u \in S} d(u) + \ell_e$ 
  - Add  $v$  to  $S$  and set  $d(v) = \pi(v)$



Running time?  
Implementation?  
Data structures?

Feb 11, 2011

CSCI211 - Sprenkle

4

## Dijkstra's Algorithm: Analysis

1. Maintain a set of explored nodes  $S$ 
  - Keep the shortest path distance  $d(u)$  from  $s$  to  $u$
2. Initialize  $S=\{s\}$ ,  $d(s)=0$
3. Repeatedly choose unexplored node  $v$  which minimizes  $\pi(v) = \min_{e=(u,v): u \in S} d(u) + \ell_e$ 
  - Add  $v$  to  $S$  and set  $d(v) = \pi(v)$

PQ Operation	RT of Op	# in Dijkstra
Insert		
ExtractMin		
ChangeKey		
IsEmpty		
Total		

- How long does each operation take?
- How many of each operation?

5

## Dijkstra's Algorithm: Implementation

- For each unexplored node, explicitly maintain  $\pi(v) = \min_{e=(u,v): u \in S} d(u) + \ell_e$ .
  - Next node to explore = node with minimum  $\pi(v)$ .
  - When exploring  $v$ , for each incident edge  $e = (v, w)$ , update  $\pi(w) = \min \{ \pi(w), \pi(v) + \ell_e \}$ .
- **Efficient implementation.** Maintain a priority queue of unexplored nodes, prioritized by  $\pi(v)$

PQ Operation	RT of Op	# in Dijkstra
Insert	$\log n$	$n$
ExtractMin	$\log n$	$n$
ChangeKey	$\log n$	$m$
IsEmpty	1	$n$
Total		$m \log n$

**$O(m \log n)$**

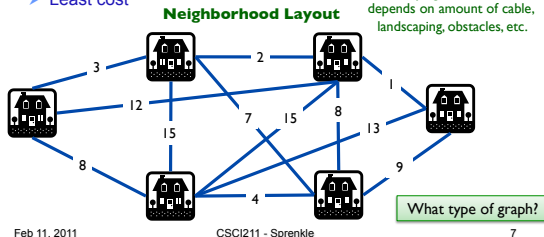
Feb 11, 2011

CSCI211 - Sprenkle

6

## Laying Cable

- Comcast knows how to make money and how to save money
- They want to lay cable in a neighborhood
  - Reach all houses
  - Least cost



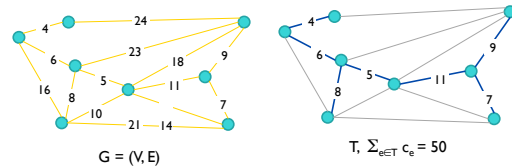
Feb 11, 2011

CSCI211 - Sprenkle

7

## Minimum Spanning Tree (MST)

- Spanning tree**: spans all nodes in graph
- Given a connected graph  $G = (V, E)$  with positive edge weights  $c_e$ , an MST is a subset of the edges  $T \subseteq E$  such that  $T$  is a **spanning tree** whose sum of edge weights is **minimized**



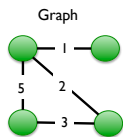
Feb 11, 2011

CSCI211 - Sprenkle

8

## Examples

Identify spanning trees and which is the **minimal** spanning tree.



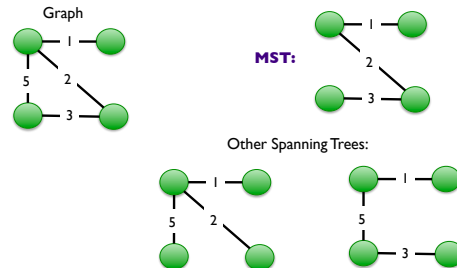
Feb 11, 2011

CSCI211 - Sprenkle

9

## Examples

Identify spanning trees and which is the **minimal** spanning tree.



Feb 11, 2011

CSCI211 - Sprenkle

10

## MST Applications

- Network design
  - telephone, electrical, hydraulic, TV cable, computer, road
- Approximation algorithms for NP-hard problems
  - traveling salesperson problem, Steiner tree
- Indirect applications
  - max bottleneck paths
  - image registration with Renyi entropy
  - learning salient features for real-time face verification
  - reducing data storage in sequencing amino acids in a protein
  - model locality of particle interactions in turbulent fluid flows
- Cluster analysis**

<http://www.ics.uci.edu/~eppstein/gina/mst.html>

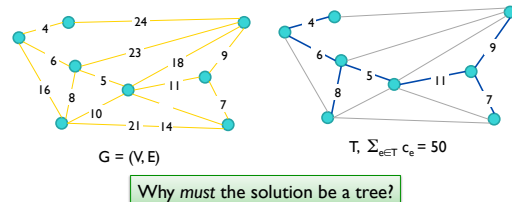
Feb 11, 2011

CSCI211 - Sprenkle

11

## Minimum Spanning Tree

- Given a connected graph  $G = (V, E)$  with positive edge weights  $c_e$ , an **MST** is a subset of the edges  $T \subseteq E$  such that  $T$  is a **spanning tree** whose sum of edge weights is **minimized**



Feb 11, 2011

CSCI211 - Sprenkle

12

## Minimum Spanning Tree

- Assume have a minimal solution that is not a tree, i.e., it has a cycle
- What could we do?
  - What do we know about the edges?
  - How does that change the cost of the solution?

Feb 11, 2011

CSCI211 - Sprenkle

13

## Minimal Spanning Tree

- Proof by Contradiction.**
- Assume have a minimal solution  $V$  that is not a tree, i.e., it has a cycle
- Contains edges to all nodes because solution must be connected (spanning)
- Remove an edge from the cycle
  - Can still reach all nodes (could go "long way around")
  - But at lower total cost
  - Contradiction to our minimal solution

Feb 11, 2011

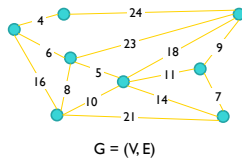
CSCI211 - Sprenkle

14

## Ideas for Solutions?

- Cayley's Theorem.** There are  $n^{n-2}$  spanning trees of  $K_n$
- Towards a solution...
  - Where to start?

↑  
can't solve by  
brute force



Feb 11, 2011

CSCI211 - Sprenkle

15

## Greedy Algorithms

*All three algorithms produce a MST*

- Prim's algorithm.** Start with some root node  $s$  and greedily grow a tree  $T$  from  $s$  outward. At each step, add the cheapest edge  $e$  to  $T$  that has exactly one endpoint in  $T$ .
  - Similar to Dijkstra's (but simpler)
- Kruskal's algorithm.** Start with  $T = \emptyset$ . Consider edges in ascending order of cost. Insert edge  $e$  in  $T$  unless doing so would create a cycle.
- Reverse-Delete algorithm.** Start with  $T = E$ . Consider edges in descending order of cost. Delete edge  $e$  from  $T$  unless doing so would disconnect  $T$ .

What do these algorithms have/do/check in common?

Feb 11, 2011

CSCI211 - Sprenkle

16

## What Do These Algorithms Have in Common?

- When is it safe to include an edge in the minimum spanning tree?
- When is it safe to eliminate an edge from the minimum spanning tree?

*Cut Property*

*Cycle Property*

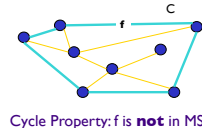
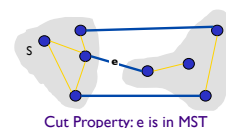
Feb 11, 2011

CSCI211 - Sprenkle

17

## Cut and Cycle Properties

- Simplifying assumption:** All edge costs  $c_e$  are distinct  
→ MST is unique
- Cut property.** Let  $S$  be any subset of nodes, and let  $e$  be the min cost edge with exactly one endpoint in  $S$ . Then MST contains  $e$ .
- Cycle property.** Let  $C$  be any cycle, and let  $f$  be the max cost edge belonging to  $C$ . Then MST does not contain  $f$ .



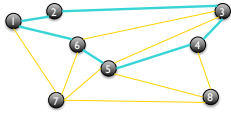
Feb 11, 2011

CSCI211 - Sprenkle

Let's try to prove these ...

## Cycles and Cuts

- Cycle.** Set of edges in the form  $a-b, b-c, c-d, \dots, y-z, z-a$



Cycle  $C = 1-2, 2-3, 3-4, 4-5, 5-6, 6-1$

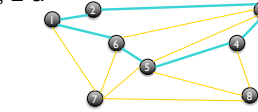
Feb 11, 2011

CSCI211 - Sprenkle

19

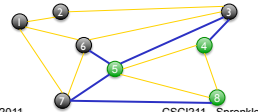
## Cycles and Cuts

- Cycle.** Set of edges in the form  $a-b, b-c, c-d, \dots, y-z, z-a$



Cycle  $C = 1-2, 2-3, 3-4, 4-5, 5-6, 6-1$

- Cutset.** A **cut** is a subset of nodes  $S$ . The corresponding **cutset**  $D$  is the subset of edges with **exactly one** endpoint in  $S$ .



Cut  $S = \{4, 5, 8\}$   
Cutset  $D = 3-4, 4-5, 5-6, 6-1$

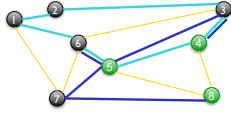
Feb 11, 2011

CSCI211 - Sprenkle

20

## Cycle-Cut Intersection

- Claim.** A **cycle** and a **cutset** intersect in an **even** number of edges



Cycle  $C = 1-2, 2-3, 3-4, 4-5, 5-6, 6-1$   
Cut  $S = \{4, 5, 8\}$   
Cutset  $D = 3-4, 4-5, 5-6, 6-1$   
Intersection =  $3-4, 4-5, 5-6$

What are the possibilities for the cycle?

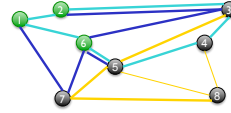
Feb 11, 2011

CSCI211 - Sprenkle

21

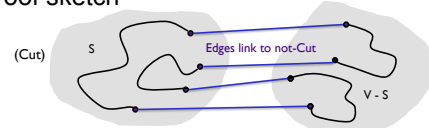
## Cycle-Cut Intersection

- Claim.** A **cycle** and a **cutset** intersect in an **even** number of edges



Cycle  $C = 1-2, 2-3, 3-4, 4-5, 5-6, 6-1$   
Cut  $S = \{1, 2, 6\}$   
Cutset  $D = 1-7, 2-3, 6-3, 6-5, 6-7$   
Intersection =  $2-3, 6-5$

- Proof sketch**



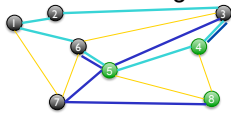
Feb 11, 2011

CSCI211 - Sprenkle

22

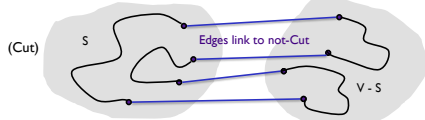
## Cycle-Cut Intersection

- Claim.** A **cycle** and a **cutset** intersect in an **even** number of edges



Cycle  $C = 1-2, 2-3, 3-4, 4-5, 5-6, 6-1$   
Cut  $S = \{4, 5, 8\}$   
Cutset  $D = 3-4, 4-5, 5-6, 6-1$   
Intersection =  $3-4, 4-5, 5-6$

- Proof sketch**



Feb 11, 2011

CSCI211 - Sprenkle

23