

Objectives

Data structures

Jan 26, 2009

1

Stable Matching Implementation

What do we need to represent?

How should we represent them?

Jan 26, 2009

2

Stable Matching Implementation

What do we need to represent? How should we represent them?

Data	How represented
Preference lists	Array of arrays
Unmatched men	List
Who men proposed to	Integer
Engagements	Array

What's the difference between an array and a list?

Jan 26, 2009

3

Arrays

Fixed number of elements

What is the runtime of

- The value of the i^{th} item in the list?
- Determining if a value e is in the list?
- Determining if a value e is in the list if the list is sorted?

Jan 26, 2009

4

Arrays

What is the runtime of

- The value of the i^{th} item in the array?
 - $O(1)$ → direct access
- Determining if a value e is in the array?
 - $O(n)$ → look through all the elements
- Determining if a value e is in the list if the array is sorted?
 - $O(\log n)$ → binary search

Jan 26, 2009

5

Arrays

What is the runtime of

- Adding an element to the array?
- Deleting an element from the array?

Jan 26, 2009

6

Lists

Dynamic set of elements

- Linked list
- Doubly linked list

What is the running time to

- Add an element to the list?
- Delete an element from the list?
- Find an element e in the list?
- Find the i^{th} element in the list?

Jan 26, 2009

7

Lists

What is the running time to

- Add an element to the list?
– $O(1)$
- Delete an element from the list?
– $O(1)$
- Find an element e in the list?
– $O(n)$
- Find the i^{th} element in the list?
– $O(i)$

Jan 26, 2009

8

Converting between Lists and Arrays (and Vice Versa)

What is the running time of converting a list to an array?

An array to a list?

Jan 26, 2009

9

Converting between Lists and Arrays (and Vice Versa)

What is the running time of converting a list to an array?

An array to a list?

- $O(n)$

Jan 26, 2009

10

MORE COMPLEX DATA STRUCTURES

Jan 26, 2009

11

Improving Running Times

After overcoming higher-level obstacles, lower-level implementation details can improve runtime

12

PRIORITY QUEUES

Jan 26, 2009

13

Priority Queues

Elements have *priority* or *key*

Each time select an element from the priority queue, want the one with *highest* priority

More formally...

- Maintains a set of elements S
 - Each element $v \in S$ has a value $\text{key}(v)$ for its priority
 - Smaller keys represent higher priorities
- Supported operations
 - Add, delete elements
 - Selection of element with smallest key

Jan 26, 2009

14

Motivating Example: Scheduling Processes

Each process has priority or urgency
Processes do not arrive in priority order

Goal: run process with highest priority

Jan 26, 2009

15

Priority Queues for Sorting

How could we use a PQ to sort a list of numbers?

Jan 26, 2009

16

Priority Queues for Sorting

Add elements into PQ with the number's value as its priority

Then extract the smallest number until done

- Come out in sorted order
- Any sequence of PQ operations that results in sorting n numbers must take at least $O(n \log n)$ time
- Goal running time for our operations?

Jan 26, 2009

17

Priority Queues for Sorting

Add elements into PQ with the number's value as its priority

Then extract the smallest number until done

- Come out in sorted order
- Any sequence of PQ operations that results in sorting n numbers must take at least $O(n \log n)$ time
- Goal running time for our operations? **$O(\log n)$**

Jan 26, 2009

18

Implementing a Priority Queue

List?

- Keep elements in an unordered list
- Pointer to minimum
- How difficult is
 - Adding new elements
 - Extraction

Jan 26, 2009 19

Implementing a Priority Queue

List?

- Keep elements in an unordered list
- Pointer to minimum
- How difficult is
 - Adding new elements: easy
 - Extraction: difficult
 - Need to find "new" minimum

Jan 26, 2009 20

Implementing a Priority Queue

Sorted List?

- Min is at the beginning
- Array or Linked list?
- How difficult is
 - Adding new elements
 - Extraction

Jan 26, 2009 21

Implementing a Priority Queue

Sorted List?

- Min is at the beginning
- How difficult is
 - Adding new elements: more difficult (insertion)
 - Extraction: easy

Jan 26, 2009 22

Summary

All of "known" data structures has one operation that takes $O(n)$ time

Jan 26, 2009 23

Data Structure: Heap

Combines benefits of sorted array and list

Balanced binary tree

root →

- Each node has *at most* 2 children
- Node value is its key

Heap order: each node's key is at least as large as its parent's

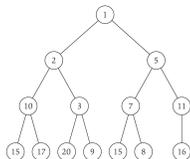
Note: not a binary search tree

Jan 26, 2009 24

Implementing a Heap

Option 1: Use pointers

- Each node keeps
 - Element it stores, key
 - 3 pointers: 2 children, parent



Option 2: No pointers

- Requires knowing upper bound on n
- For node at position i
 - left child is at $2i$
 - right child is at $2i+1$



If know child's position, what is the position of parent?

Jan 26, 2009

25

Implementing a Heap: Operations

Finding the minimal element?

Jan 26, 2009

26

Implementing a Heap: Operations

Finding the minimal element

- First element
- $O(1)$

Jan 26, 2009

27

Implementing a Heap: Operations

Adding an element?

- Assume heap has less than N elements

Jan 26, 2009

28

Implementing a Heap: Operations

Adding an element?

- Could add element to last position
 - What are possible scenarios?

Jan 26, 2009

29

Implementing a Heap: Operations

Adding an element?

- Could add element to last position
 - What are possible scenarios?
 - Heap is no longer balanced
 - Something that is almost a heap but a little off
 - Need a Heapify-up procedure to fix our heap

Jan 26, 2009

30

Heapify-Up

Heap Position where node added

```

Heapify-up(H, i):
  if i > 1 then
    let j=parent(i)=floor(i/2)
    if key[H[i]] < key[H[j]] then
      swap array entries H[i] and H[j]
      Heapify-up(H, j)
    
```

Jan 26, 2009 31

Practice: Heapify-Up

Add 3

Jan 26, 2009 32

Practice: Heapify-Up

Swap with 11

Jan 26, 2009 33

Practice: Heapify-Up

Swap with 5

Jan 26, 2009 34

Heapify-Up

Claim. Assuming array H is almost a heap with key of H[i] too small, Heapify-Up fixes the heap property in $O(\log i)$ time

- Can insert a new element in a heap of n elements in $O(\log n)$ time

Jan 26, 2009 35

Heapify-Up

Claim. Assuming array H is almost a heap with key of H[i] too small, Heapify-Up fixes the heap property in $O(\log i)$ time

- Can insert a new element in a heap of n elements in $O(\log n)$ time

Proof. By induction

- If $i=1$...

Jan 26, 2009 36

Heapify-Up

Claim. Assuming array H is almost a heap with key of $H[i]$ too small, Heapify-Up fixes the heap property in $O(\log i)$ time

- Can insert a new element in a heap of n elements in $O(\log n)$ time

Proof. By induction

- If $i=1$, is already a heap
- If $i>1$, ...

Jan 26, 2009

37

Heapify-Up

Claim. Assuming array H is almost a heap with key of $H[i]$ too small, Heapify-Up fixes the heap property in $O(\log i)$ time

- Can insert a new element in a heap of n elements in $O(\log n)$ time

Proof. By induction

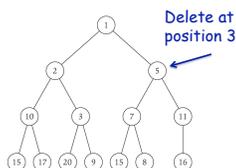
- If $i=1$, is already a heap
- If $i>1$,
 - Swaps are $O(1)$
 - Swaps continue up to root (max)

Jan 26, 2009

38

Deleting an Element

Delete at position i



Jan 26, 2009

39

Deleting an Element

Delete at position i

Not only removes an element

- Messes up heap order
- Leaves a "hole" in the heap

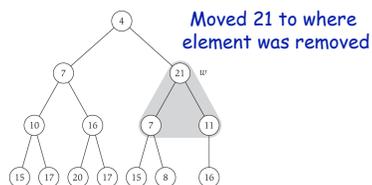
Not as straightforward as Heapify-Up

- Need to fill-in element where hole was
 - Patch hole: move n^{th} element into i^{th} spot
- Then adjust heap to be in order
 - At position i because moved n^{th} item up to i

Jan 26, 2009

40

Deleting an Element



Two possibilities: element w is

- Too big: violation is between it and parent \rightarrow Heapify-Up
- Too small: with one or both children \rightarrow Heapify-Down

Jan 26, 2009

41

Heapify-Down

```

Heapify-down(H, i):
  Let n = length(H)
  if 2i > n then
    Terminate with H unchanged
  else if 2i < n then
    let left=2i and right=2i+1
    let j be index that minimizes
      key[H[left]] and key[H[right]]
  else if 2i = n then
    Let j=2i
  if key[H[j]] < key[H[i]] then
    swap array entries H[i] and H[j]
    Heapify-down(H, j)
    
```

Jan 26, 2009

42