

Objectives

- Finish survey of common running times
- More on Data structures
- Checking in on journal
 - Alternative to quizzes

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A SURVEY OF COMMON RUNNING TIMES

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Review: $O(n)$ Algorithms

- Constant work on each input element
- Examples:
 - Finding the max
 - Merging two sorted lists

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$O(n \log n)$ Time

- Also referred to as *linearithmic* time
- Arises in divide-and-conquer algorithms
 - Splitting input into equal pieces, solve recursively, combine solutions in linear time

What well-known set of algorithms has an $O(n \log n)$ running time?

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$O(n \log n)$ Time Example

- **Sorting:** Mergesort and heapsort are sorting algorithms that perform $O(n \log n)$ comparisons
- **Mergesort**
 1. Break input into equal-sized pieces
 2. Sorts each half recursively
 3. Merges sorted halves into a sorted list

Talk about the bound on running time during D&C chapter...

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$O(n \log n)$ Time Example

- **Largest empty interval.** Given n (not necessarily ordered) time-stamps x_1, \dots, x_n at which copies of a file arrive at a server, what is largest interval of time when no copies of the file arrive?

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O(n log n) Time Example

- Largest empty interval. Given n (not necessarily ordered) time-stamps x_1, \dots, x_n at which copies of a file arrive at a server, what is largest interval of time when no copies of the file arrive?
- O(n log n) solution
 1. Sort time-stamps
 2. Scan sorted list in order, identifying the maximum gap between successive time-stamps

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Quadratic Time: O(n²)

- Examples?

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Quadratic Time: O(n²)

- Examples:
 - Enumerate all pairs of elements
 - Often involves nested loops (n iterations each)

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Quadratic Time: O(n²)

- Closest pair of points. Given a list of n points in the plane $(x_1, y_1), \dots, (x_n, y_n)$, find the pair that is closest
- O(n²) solution. Try all pairs of points

```

min = (x1 - x2)2 + (y1 - y2)2
for i = 1 to n
  for j = i+1 to n
    d = (xi - xj)2 + (yi - yj)2
    if (d < min)
      min = d
    
```

don't need to take square roots

$\Omega(n^2)$ seems inevitable, but Chapter 5 has an $O(n \log n)$ solution

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Cubic Time: O(n³)

- Examples?

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Cubic Time: O(n³)

- Enumerate all triples of elements

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Cubic Time: $O(n^3)$

- **Set disjointness.** Given n sets S_1, \dots, S_n each of which is a subset of $1, 2, \dots, n$, is there some pair of these which are disjoint?
- **$O(n^3)$ solution.** For each pair of sets, determine if they are disjoint

```
foreach set  $S_i$ 
  foreach other set  $S_j$ 
    foreach element  $p$  of  $S_i$ 
      determine whether  $p$  also belongs to  $S_j$ 

  if (no element of  $S_i$  belongs to  $S_j$ )
    report that  $S_i$  and  $S_j$  are disjoint
```

Polynomial Time: $O(n^k)$ Time

- To get all pairs, the algorithm is $O(n^2)$

What is an example of an $O(n^k)$ algorithm?

All subsets of size k

Polynomial Time: $O(n^k)$ Time

- **Independent set of size k .** Given a graph, are there k nodes such that no two are joined by an edge?
 - k is a constant

Polynomial Time: $O(n^k)$ Time

- **Independent set of size k .** Given a graph, are there k nodes such that no two are joined by an edge?
 - k is a constant

```
foreach subset  $S$  of  $k$  nodes
  check whether  $S$  in an independent set
  if ( $S$  is an independent set)
    report  $S$  is an independent set
```

- **$O(n^k)$ solution**

1. Enumerate all subsets of k nodes

$$\binom{n}{k} = \frac{n(n-1)(n-2)\dots(n-k+1)}{k(k-1)(k-2)\dots(2)(1)} \leq \frac{n^k}{k!}$$

2. Check whether S is an independent set = $O(k^2)$.

$$O(k^2 n^k / k!) = O(n^k)$$

poly-time for $k=17$
but not practical

Exponential Time

- **Independent set.** Given a graph, what is the *maximum size* of an independent set?
- **$O(n^2 2^n)$ solution.** Enumerate all subsets

```
 $S^* = \phi$ 
foreach subset  $S$  of nodes
  check whether  $S$  in an independent set
  if ( $S$  is largest independent set seen so far)
     $S^* = S$ 
```

$O(\log n)$ Time

- **Sublinear** time
- Know any algorithms that take $O(\log n)$ time?

O(log n) Time

- Example: Binary search
- Often requires some pre-processing or data structure that allows cheaper “querying” than n time

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Summary of Running Times

Running Time	Example
$O(\log n)$	Generally dividing problem in half on each iteration
$O(n)$	Operate on each input value
$O(n \log n)$	Divide and conquer
$O(n^2)$	Operate on each pair of inputs
$O(n!)$	Operate on each permutation of inputs

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MORE COMPLEX DATA STRUCTURES

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Improving Running Times

After overcoming higher-level obstacles, lower-level **implementation details** can **improve runtime**.

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PRIORITY QUEUES

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Priority Queues

- Elements have a **priority** or **key**
- Each time select an element from the priority queue, want the one with *highest* priority
- More formally...
 - > Maintains a set of elements S
 - Each element $v \in S$ has a $\text{key}(v)$ for its priority
 - > Smaller keys represent higher priorities
 - > Supported operations
 - Add, delete elements
 - Select element with smallest key

Key	2	4	5	6	9	20	
Value	3542	5143	8712	1264	9123	5954	← Priority ← Process id

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Not implementation, just how to envision

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Motivating Example: Scheduling Processes

Key	2	4	5	6	9	20	← Priority
Value	3542	5143	8712	1264	9123	5954	← Process id

- Each process has a priority or urgency
- Processes do not arrive in priority order
- **Goal:** run process with highest priority

Using a Priority Queue

How could we use a PQ to sort a list of numbers?

Priority Queues for Sorting

1. Add elements into PQ with the number's value as its priority
2. Then extract the smallest number *until* done
 - Come out in sorted order

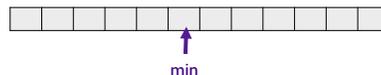
Sorting n numbers takes $O(n \log n)$ time

What is the goal running time for our PQ's operations? **$O(\log n)$**

Already know our "loops" will be $O(n)$

Implementing a Priority Queue

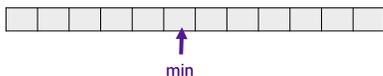
- Consider an *unordered* list, where there is a pointer to minimum



- How difficult (i.e., expensive) is
 - Adding new elements?
 - Extraction?

Implementing a Priority Queue

- Consider an *unordered* list, where there is a pointer to minimum



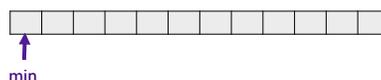
- How difficult (i.e., expensive) is
 - Adding new elements? *easy*
 - Extraction? *difficult*
 - Need to find "new" minimum: $O(n)$

What is the running time for sorting with the PQ in this case?

$O(n^2)$

Implementing a Priority Queue

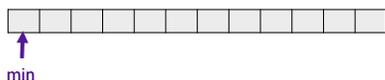
- Consider a *sorted* list where min is at the beginning



- Should you use an array or linked list?
- How difficult is
 - Adding new elements?
 - Extraction?

Implementing a Priority Queue

- Consider a sorted list where min is at the beginning



- Should you use an array or linked list?
- How difficult is
 - Adding new elements? *more difficult (insertion)*
 - Extraction? *Easy*

What is the running time for sorting with the PQ in this case?

$O(n^2)$

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Reflection

- All of "known" data structures has one operation that takes $O(n)$ time
- Cannot implement PQs with "known" data structures arrays and lists to meet desired $O(n \log n)$ runtime

➔ Motivates use of a new data structure (**heap**) to implement PQ

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HEAPS

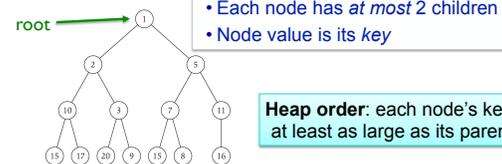
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Heap Defined

- Combines benefits of sorted array and list
- Balanced binary tree



Heap order: each node's key is at least as large as its parent's

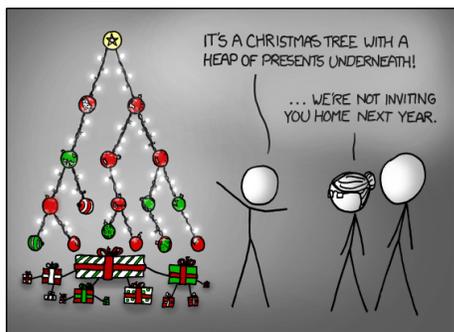
Note: **not** a binary search tree

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Heaps



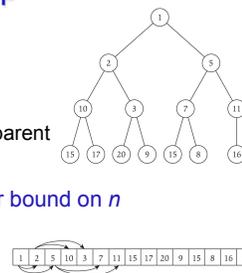
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Implementing a Heap

- Option 1: Use pointers
 - Each node keeps
 - Element it stores (key)
 - 3 pointers: 2 children, parent
- Option 2: No pointers
 - Requires knowing upper bound on n
 - For node at position i
 - left child is at $2i$
 - right child is at $2i+1$



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Assignment

- Problem Set Due Friday
- Finish reading, summarizing Chapter 2