

## Objectives

- Divide and conquer problems
- Recurrence relations
- Problem: Counting inversions

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## Review

- What is a divide and conquer algorithm?
- Name two ways to determine the runtime for a recurrence relation
  - What is the first step for either approach?
- What is a recurrence relation?

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## Review: Approaches to Solving Recurrences

### 1. Unroll recursion

- Look for patterns in runtime at each level
- Sum up running times over all levels

### 2. Substitute guess solution into recurrence

- Check that it works
- Induction on  $n$

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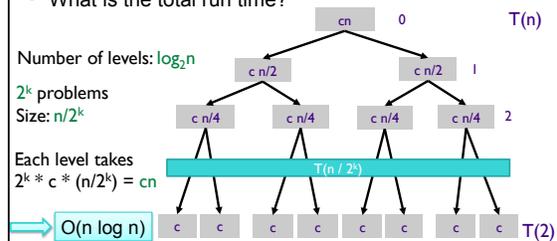
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## Review: Unrolling Recurrence

$$T(n) = 2T(n/2) + O(n)$$

- How many levels are there (assuming  $n$  is a power of 2)?
- How much does each level cost, in terms of the level?
- What is the total run time?



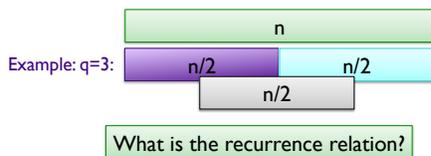
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## Another Recurrence Relation

- Instead of recursively solving 2 problems, solve  $q$  problems
  - Size of problems is still  $n/2$
- Combining solutions is still  $O(n)$



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## Another Recurrence Relation

- Instead of recursively solving 2 problems, solve  $q$  problems
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### Recurrence relation:

➢ For some constant  $c$ ,

$$T(n) \leq q T(n/2) + cn \text{ when } n > 2$$

$$T(2) \leq c$$

Intuition about running time?

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### Unrolling Recurrence, $q > 2$

$$T(n) \leq q T(n/2) + cn$$

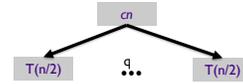
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### Unrolling Recurrence, $q > 2$

- First level:  
 $q T(n/2) + cn$



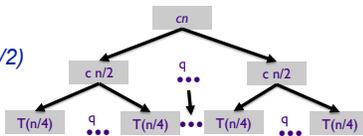
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### Unrolling Recurrence, $q > 2$

- Next level:  
 $q T(n/4) + c(n/2)$



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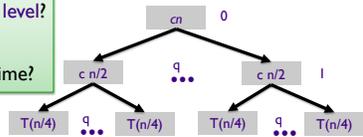
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### Unrolling Recurrence, $q > 2$

How much does each level cost, in terms of the level?

Number of levels?

What is the total run time?



$q^k$  problems at level  $k$

Size:  $n/2^k$

Number of levels:  $\log_2 n$

Each level takes  $q^k * c * (n/2^k) = (q/2)^k cn$

→ Total work per level is *increasing* as level increases

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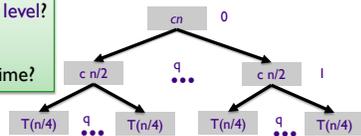
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### Unrolling Recurrence, $q > 2$

How much does each level cost, in terms of the level?

Number of levels?

What is the total run time?



$$T(n) \leq \sum_{j=0, \log_2 n} (q/2)^j cn$$

Geometric series:

(constant ratio between successive terms)

Multiplying previous term by  $(q/2)$

$$\rightarrow O(n \log_2 q)$$

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### Unrolling the Recurrence

- Generalize: What are the steps?

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### Summary

- Use recurrences to analyze the run time of divide and conquer algorithms
- Need to figure out
  - Number of sub problems
  - Size of sub problems
  - Number of times divided (number of levels)
  - Cost of merging problems

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### Know Your Recurrence Relations

What algorithm has this recurrence relation?  
What is that algorithm's running time?

Recurrence	Algorithm	Running Time
$T(n) = T(n/2) + O(1)$		
$T(n) = T(n-1) + O(1)$		
$T(n) = 2 T(n/2) + O(1)$		
$T(n) = T(n-1) + O(n)$		
$T(n) = 2 T(n/2) + O(n)$		

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### Know Your Recurrence Relations

What algorithm has this recurrence relation?  
What is that algorithm's running time?

Recurrence	Algorithm	Running Time
$T(n) = T(n/2) + O(1)$	Binary Search	$O(\log n)$
$T(n) = T(n-1) + O(1)$	Sequential/ Linear Search	$O(n)$
$T(n) = 2 T(n/2) + O(1)$	Binary Tree Traversal	$O(n)$
$T(n) = T(n-1) + O(n)$	Selection Sort	$O(n^2)$
$T(n) = 2 T(n/2) + O(n)$	Merge Sort	$O(n \log n)$

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## COUNTING INVERSIONS

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### Problem Context

- Movie site tries to match your movie preferences with others
  - You rank  $n$  movies
  - Movie site consults database to find people with similar tastes
    - Collaborative filtering
- Meta-search tools
  - Do same query on several search engines
  - Synthesize results by looking for similarities and differences in search engines' results rankings

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### Comparing Rankings

- To determine similarity of rankings, need a metric
- Similarity metric: number of inversions between two rankings

Discuss pros and cons of this metric

- My rank: 1, 2, ...,  $n$
- Your rank:  $a_1, a_2, \dots, a_n$
- Movies  $i$  and  $j$  *inverted* if  $i < j$  but  $a_i > a_j$

	Movies				
	A	B	C	D	E
Me	1	2	3	4	5
You	1	3	4	2	5

What are the inversions?

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### Comparing Rankings

- To determine similarity of rankings, need a metric
- Similarity metric:** number of inversions between two rankings
  - My rank: 1, 2, ..., n
  - Your rank:  $a_1, a_2, \dots, a_n$
  - Movies  $i$  and  $j$  *inverted* if  $i < j$  but  $a_i > a_j$

Naïve/Brute force solution?

	A	B	C	D	E
Me	1	2	3	4	5
You	1	3	4	2	5

**Inversions:**  
3-2, 4-2

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### Brute Force Solution

- Look at every pair  $(i,j)$  and determine if they are an inversion
- Requires  $\Theta(n^2)$  time
  - Note: Already an efficient algorithm but try to improve upon runtime

Towards a Better Solution...  
• Can't look at each inversion individually

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### Applications

- Voting theory
- Collaborative filtering
- Measuring the "sortedness" of an array
- Sensitivity analysis of Google's ranking function
- Rank aggregation for meta-searching on the Web
- Nonparametric statistics (e.g., Kendall's Tau distance)

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### Counting Inversions: Divide-and-Conquer

Assume number represents where item *should* be in the list, i.e., where it is in someone else's list

1 5 4 8 10 2 6 9 12 11 3 7

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### Counting Inversions: Divide-and-Conquer

- Divide:** separate list into two pieces

1 5 4 8 10 2 6 9 12 11 3 7      Divide:  $O(1)$

1 5 4 8 10 2    6 9 12 11 3 7

What are some inversions in blue and green halves?

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### Counting Inversions: Divide-and-Conquer

- Divide:** separate list into two pieces
- Conquer:** recursively count inversions in each half

1 5 4 8 10 2 6 9 12 11 3 7      Divide:  $O(1)$

1 5 4 8 10 2    6 9 12 11 3 7

5 blue-blue inversions      8 green-green inversions

5-4, 5-2, 4-2, 8-2, 10-2      6-3, 9-3, 9-7, 12-3, 12-7, 12-11, 11-3, 11-7

What is recurrence relation so far?

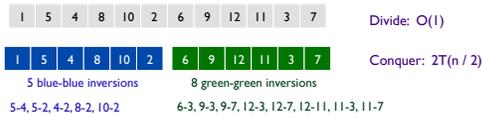
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### Counting Inversions: Divide-and-Conquer

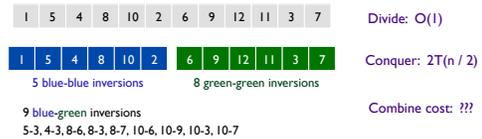
- Divide: separate list into two pieces
- **Conquer**: recursively count inversions in each half



What do we need to do next?

### Counting Inversions: Divide-and-Conquer

- Divide: separate list into two pieces
- Conquer: recursively count inversions in each half
- **Combine**: count inversions where  $a_i$  and  $a_j$  are in different halves, and return sum of three quantities



Total = 5 + 8 + 9 = 22

### Counting Inversions: Divide-and-Conquer

- Divide: separate list into two pieces
- Conquer: recursively count inversions in each half
- **Combine**: count inversions where  $a_i$  and  $a_j$  are in different halves, and return sum of three quantities



Total = 5 + 8 + 9 = 22

What would make figuring out blue-green inversions easier?

### Looking Ahead

- Wiki due Wednesday
  - Read 4.7-4.8, 5.1
- PS6 due Friday
- Monday, March 12
  - Katherine Crowley talk at 7:30 p.m. in Stackhouse
  - 5 pts extra credit for write up on Sakai