## **CSCI211: Intro Objectives**

- Introduction to Algorithms, Analysis
- Course summary
- Reviewing proof techniques

Jan 7, 2019

Sprenkle – CSCI211

1

## My Bio

- From Dallastown, PA
- B.S., Gettysburg College
- M.S., Duke University
- Ph.D., University of Delaware
- For fun: pop culture, gardening, volunteer at Rockbridge Animal Alliance





#### What This Course Is About



From 30 Rock

Jan 7, 2019

Sprenkle - CSCI211

3

Now, everything comes down to expert knowledge of **algorithms** and **data structures**.

If you don't speak fluent **O-notation**, you may have trouble getting your next job at the technology companies in the forefront.

-- Larry Freeman

For me, great algorithms are the poetry of computation. Just like verse, they can be terse, allusive, dense, and even mysterious. But once unlocked, they cast a **brilliant new light** on some aspect of computing.

-- Francis Sullivan

Jan 7, 2019

Sprenkle – CSCI211

# Motivation Google

From a Google interview preparation email

Get your algorithms straight (they may comprise up to a **third** of your interview).

Visit: http://en.wikipedia.org/wiki/List\_of\_algorithm\_general\_topics and examine this list of algorithms:

http://en.wikipedia.org/wiki/List\_of\_algorithms

and data structures: http://en.wikipedia.org/wiki/List\_of\_data\_structures Write out all the algorithms yourself from start to finish and make sure they're working.

Jan 7, 2019 Sprenkle – CSCI211

## What is an Algorithm?

- Precise procedure to solve a problem
- Completes in a finite number of steps

### **Questions to Consider**

- What are our goals when designing algorithms?
- How do we know when we've met our goals?
  - Goals: Correctness, Efficiency
  - Use analysis to show/prove

Jan 7, 2019 Sprenkle – CSCI211

#### **Course Goals**

- Learn how to formulate precise problem descriptions
- Learn specific algorithm design techniques and how to apply them
- Learn how to analyze algorithms for efficiency and for correctness
- Learn when no exact, efficient solution is possible

#### **Course Content**

- Algorithm analysis
  - > Formal proofs; Asymptotic bounds
- Advanced data structures
  - > e.g., heaps, graphs
- Greedy Algorithms
- Divide and Conquer
- Dynamic Programming
- Network Flow
- Computational Intractability

Jan 7, 2019

Sprenkle - CSCI211

a

#### **Course Notes**

- Textbook: Algorithm Design
- Participation is encouraged
  - > Individual, row, class
- Assignments:
  - Reading text, writing brief summaries
    - Readings through Friday due following Tuesday
  - Problem Sets
    - Solutions to problems
    - Analysis of solutions

Programming (little)

Given on Friday, due following Friday

Jan 7, 2019

Sprenkle – CSCI211

#### **Course Grading**

- 40% Individual written and programming homework assignments
- 30% Two midterm exams
- 20% Final
- 5% Text book reading summaries, weekly
  - > In a journal on wiki
- 5% Participation and attendance

Jan 7, 2019 Sprenkle – CSCI211 11

#### **Text Book Summaries in Journal**

- Important for you to wrestle with material more than just during the class period
  - More than superficial understanding
    - Understand problem, motivation, key insights, proof, analysis, ...
  - Make connections
  - Not all details can be covered in class
- Not just reading → active summaries
- Help you prepare for the week's problem set

#### **Journal Content**

- Brief summary of chapter/section
  - > ~1 paragraph of about 5-10 sentences/section
  - > feel free to write more if that will help you
- Include motivations for the given problem, as appropriate
- For algorithms, brief sketch of algorithm, intuition, and implementation
  - Include runtime
- Questions you have about motivation/solution/proofs/analysis
- Discuss anything that makes more sense after reading it again, after it was presented in class (or vice versa)
- Anything that you want to remember, anything that will help you
- Say something about how readable/interesting the section was on scale of 1 to 10

Jan 7, 2019 Sprenkle – CSCI211 13

## **Journal Grading**

Grade	Meaning
+	Especially well-done, insightful questions
	Typical grade
-	Unsatisfactory write up; specific feedback about how to improve
0	No submission

## How to Succeed in This Course

- Come to every class prepared (bring questions!)
- Actively participate in class by asking and answering questions
- Actively read the textbook, making notes about the problems and solutions in your wiki.
- Do all the assignments--start them when they are assigned--and turn them in on time
  - Refer to your wiki, the lecture slides, and your notes when working on your assignments.
- If you start to get behind, see me in office hours right away

http://cs.wlu.edu/~sprenkle/cs211

Jan 7, 2019 Sprenkle – CSCl211 15

#### **ALGORITHMS**

Jan 7, 2019 Sprenkle – CSCI211

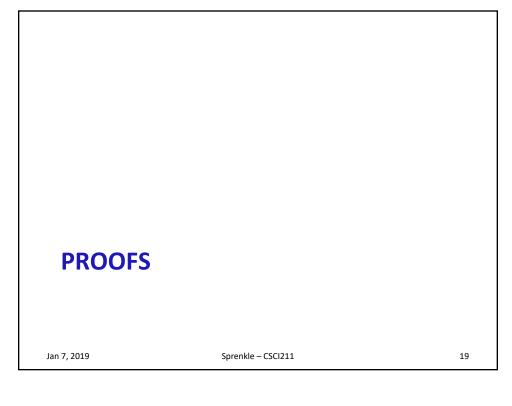
## **Computational Problem Solving 101**

- Computational Problem
  - > A problem that can be solved by logic
- To solve the problem:
  - 1. Create a model of the problem
  - 2. Design an *algorithm* for solving the problem using the model
  - 3. Write a *program* that implements the algorithm

Jan 7, 2019 Sprenkle – CSCI211 17

## **Computational Problem Solving 101**

- Algorithm: a well-defined recipe for solving a problem
  - > Has a finite number of steps
  - Completes in a finite amount of time
- Program
  - ➤ An algorithm written in a programming language
  - Important to consider implementation's effect on runtime



## Why Proofs?

• What are insufficient alternatives?

• How can we prove something isn't true?

Jan 7, 2019

Sprenkle – CSCI211

## Why Proofs?

- What are insufficient alternatives?
  - Examples
    - Considered all possible?
  - > Empirical/statistical evidence
    - Ex: "Lying" with statistics
- How can we prove something isn't true?
  - One counterexample

Need irrefutable proof that something is true—for **all** possibilities

Jan 7, 2019 Sprenkle – CSCI211 21

#### Soap Op Proof by intimidation Trivial!

#### Common proof techniques

• "It's the

**Proof by cumbersome notation** The theorem follows immediately from the fact that  $\left|\bigoplus_{k\in S}\left(\mathfrak{K}^{\mathbb{F}^{\alpha}(i)}\right)_{i\in\mathcal{U}_k}\right| \preccurlyeq \aleph_1$  when  $[\mathfrak{H}]_{\mathcal{W}}\cap\mathbb{F}^{\alpha}(\mathbb{N})\neq\emptyset$ .

**Proof by inaccessible literature** The theorem is an easy corollary of a result proven in a hand-written note handed out during a lecture by the Yugoslavian Mathematical Society in 1973.

**Proof by ghost reference** The proof my be found on page 478 in a textbook which turns out to have 396 pages.

Circular argument Proposition 5.18 in [BL] is an easy corollary of Theorem 7.18 in [C], which is again based on Corollary 2.14 in [K]. This, on the other hand, is derived with reference to Proposition 5.18 in [BL].

**Proof by authority** My good colleague Andrew said he thought he might have come up with a proof of this a few years ago. . .

Internet reference For those interested, the result is shown on the web page of this book. Which unfortunately doesn't exist any more

Proof by avoidance Chapter 3: The proof of this is delayed until Chapter 7 when we have developed the theory even further. Chapter 7: To make things easy, we only prove it for the case z = 0, but the general case in handled in Appendix C. Appendix C: The formal proof is beyond the scope of this book, but of course, our intuition knows this to be true.

Jan 7, 2019

facebook.com/Mathematicx

## Common Types of Proofs?

Jan 7, 2019

Sprenkle – CSCI211

## **Common Types of Proofs**

- Direct proofs
  - > Series of true statements, each implies the next
- Proof by contradiction
- Proof by induction

Jan 7, 2019

Sprenkle – CSCI211

26

## **Proof By Contradiction**

What are the steps to a proof by contradiction?

Jan 7, 2019

Sprenkle – CSCI211

27

## **Proof By Contradiction**

- 1. Assume the proposition (P) we want to prove is false
- 2. Reason to a contradiction
- 3. Conclude that P must therefore be true

Jan 7, 2019

Sprenkle – CSCI211

## Prove: There are Infinitely Many Primes

Jan 7, 2019

Sprenkle – CSCI211

29

## Prove: There are Infinitely Many Primes

- What is a prime number?
- What is not-a-prime number?
- What is our first step (proof by contradiction)?
- What do we want to show?

Jan 7, 2019

Sprenkle – CSCI211

## Prove: There are Infinitely Many Primes

 Assume there are a finite number of prime numbers

```
\triangleright List them: p_1, p_2 ..., p_n
```

• Consider the number  $q = p_1p_2...p_n + 1$ 

What are the possibilities for q?

q is either composite or prime

31

Jan 7, 2019 Sprenkle – CSCI211

## Prove: There are Infinitely Many Primes

- Assume there are a finite number of prime numbers
  - $\triangleright$  List them:  $p_1, p_2 ..., p_n$
- Consider the number  $q = p_1p_2...p_n + 1$
- Case: q is composite
  - $\triangleright$  If we divide q by any of the primes, we get a remainder of 1 → q is not composite

## Prove: There are Infinitely Many Primes

- Assume there are a finite number of prime numbers
  - $\triangleright$  List them:  $p_1, p_2 ..., p_n$
- Consider the number  $q = p_1p_2...p_n + 1$
- Case: q is composite
  - $\triangleright$  If we divide q by any of the primes, we get a remainder of 1 → q is not composite
- Therefore, q is prime, but q is larger than any of the finitely enumerated prime numbers listed → Contradiction

  Proof thanks

Jan 7, 2019

Sprenkle – CSCI211

to Euclid

33

## **Proof By Induction**

What are the steps to a proof by induction?

## **Proof By Induction**

- 1. What you want to prove
- 2. Base case
  - $\triangleright$  Typical: Show statement holds for n = 0 or n = 1
- 3. Induction hypothesis
- 4. Induction step: show that adding one to n also holds true
  - Relies on earlier assumptions

When/why is induction useful?

Show true for all (infinite) possibilities Show works for "one more"

35

## **Proof By Induction**

State your P(n).

Jan 7, 2019

- P(n) is a property as a function of n
- State for which *n* you will prove your P(n) to be true
- State your base case.
  - State for which n your base case is true, and prove it
    - Use the smallest *n* for which your statement is true
- 3. State your induction hypothesis
  - Without an induction hypothesis, the proof falls apart.
  - Usually it is just restating your P(n), with no restriction on n (an arbitrary n)
- Inductive Step.
  - Consider P(n + 1).
    - Try to prove a larger case of the problem than you assumed in your induction hypothesis.
  - Keep in mind: What are you trying to prove?
  - Use your induction hypothesis, and clearly state where it is used. If you haven't used your induction hypothesis, then you are not doing a proof by induction.
- Conclusion.
  - Optionally, restate the problem.

Jan 7, 2019 Sprenkle – CSCI211

## **Example of Induction Proof**

#### Prove:

$$2+4+6+8+... + 2n = n*(n+1)$$

Jan 7, 2019

Sprenkle – CSCI211

37

## **Example of Induction Proof**

#### Prove:

$$2+4+6+8+... + 2n = n*(n+1)$$

For what values of n do we want to prove this is true?

A: where n is a natural number

Jan 7, 2019

Sprenkle – CSCI211

## **Example of Induction Proof**

**Prove**: 
$$2+4+6+8+...+2n = n*(n+1)$$

(where *n* is a natural number)

• Base case:  $n = 1 \rightarrow$ 

$$\geq$$
 2\*1 = 1\*(1+1)

Jan 7, 2019

Sprenkle – CSCI211

39

## **Example of Induction Proof**

**Prove**: 
$$2+4+6+8+...+2n = n*(n+1)$$

(where *n* is a natural number)

• Base case:  $n = 1 \rightarrow$ 

$$\geq$$
 2\*1 = 1\*(1+1)

- Induction Hypothesis:
  - Assume statement is true for some arbitraryk > 1

Jan 7, 2019

Sprenkle – CSCI211

41

## **Example of Induction Proof**

**Prove**: 
$$2+4+6+8+...+2n = n*(n+1)$$

(where *n* is a natural number)

- Base case:  $n = 1 \rightarrow$ 
  - $\geq$  2\*1 = 1\*(1+1)
- Induction Hypothesis:
  - Assume statement is true for some arbitrary k > 1
- Prove holds for k+1

Jan 7, 2019 Sprenkle – CSCI211

## **Example of Induction Proof**

**Prove**: 
$$2+4+6+8+...+2n = n*(n+1)$$

(where *n* is a natural number)

- Base case:  $n = 1 \rightarrow$ 
  - $\geq$  2\*1 = 1\*(1+1)
- Induction Hypothesis:
  - Assume statement is true for some arbitraryk > 1
- Prove holds for k+1, i.e., show that
   2+4+6+8+... + 2k + 2(k+1) = (k+1)\*((k+1)+1)

**Prove**: 2+4+6+8+...+2n = n\*(n+1)

- Base case:  $n = 1 \rightarrow 2*1 = 1*(1+1)$
- Assume statement is true for arbitrary n=k>1
- Prove true for k+1, i.e., show that 2+4+6+8+... + 2k + 2(k+1) = (k+1)\*((k+1)+1)
  - $\geq$  2+4+6+8+... + 2k + 2(k+1)
  - = k\*(k+1) + 2(k+1)
  - $= k^2 + k + 2k + 1$
  - $= k^2 + 3k + 1$
  - = (k+1)\*(k+2)
  - = (k+1)\*((k+1)+1)

Approach shown: transform LHS to RHS

I want to see these steps in your proofs!

Jan 7, 2019

Sprenkle - CSCI211

43

**Prove**: 2+4+6+8+...+2n = n\*(n+1)

- Base case:  $n = 1 \rightarrow 2*1 = 1*(1+1)$
- Assume statement is true for arbitrary n=k>1
- Prove true for k+1, i.e., show that
  2+4+6+8+... + 2k + 2(k+1) = (k+1)\*((k+1)+1)

Alternative solution

- = k\*(k+1) + 2(k+1)
- = (k+1)\*(k+2), factor out the (k+1)
- = (k+1)\*((k+1)+1)

Jan 7, 2019

Sprenkle - CSCI211

## **Proof Summary**

- Need to *prove* conjectures
- Common types of proofs
  - Direct proofs
  - Contradiction
  - **►** Induction
- Common error: not checking/proving assumptions
  - "Jumps" in logic

Jan 7, 2019

Sprenkle - CSCI211

45

#### Proof: All Horses Are The Same Color

- Base case: If there is only one horse, there is only one color.
- **Induction step**: Assume as induction hypothesis that within any set of *n* horses, there is only one color.
  - $\triangleright$  Look at any set of n + 1 horses
  - ▶ Label the horses: 1, 2, 3, ..., n, n + 1
  - Consider the sets {1, 2, 3, ..., n} and {2, 3, 4, ..., n + 1}
  - Each is a set of only *n* horses, therefore within each there is only one color
  - Since the two sets overlap, there must be only one color among all n + 1 horses

Jan 7, 2019

Where is the error in the proof?

## **Looking Ahead**

- Check out course wiki page
  - > Test username/password after email received
  - > Decide which style of journal you want: wiki or blog
- Read first two pages of book's preface
  - > Summarize on Wiki by next Tuesday @ midnight