

## Objectives

- Analyzing proofs
- Introduction to problem solving
  - Our process, through an example

### Wiki:

- Everyone log in okay?
- Decide on either using a blog or wiki-style journal?

## Review

- What are our goals in solving problems?
- How do we show that our solutions are correct and efficient?
- What proof techniques did we discuss?

## Proof Summary

- Need to *prove* conjectures
- Common types of proofs
  - Direct proofs
  - Contradiction
  - Induction
- Common error: not checking/proving assumptions
  - “Jumps” in logic

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## Proof: All Horses Are The Same Color

- **Base case:** If there is only *one* horse, there is only one color.
- **Induction step:** Assume as induction hypothesis that within any set of  $n$  horses, there is only one color.
  - Look at any set of  $n + 1$  horses
  - Label the horses:  $1, 2, 3, \dots, n, n + 1$
  - Consider the sets  $\{1, 2, 3, \dots, n\}$  and  $\{2, 3, 4, \dots, n + 1\}$
  - Each is a set of only  $n$  horses, therefore within each there is only one color
  - Since the two sets overlap, there must be only one color among all  $n + 1$  horses

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Where is the error in the proof?

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## Error in Proof

- **Base case:** If there is only *one* horse, there is only one color.
- **Induction step:** Assume as induction hypothesis that within any set of  $n$  horses, there is only one color.
  - Look at any set of  $n + 1$  horses
  - Number them:  $1, 2, 3, \dots, n, n + 1$
  - Consider the sets  $\{1, 2, 3, \dots, n\}$  and  $\{2, 3, 4, \dots, n + 1\}$
  - Each is a set of only  $n$  horses, therefore within each there is only one color
  - *Since the two sets overlap*, there must be only one color among all  $n + 1$  horses

Does not hold true when  $n+1=2$

**Lesson:** check assumptions within proof

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Process, through example

## INTRODUCTION TO PROBLEM SOLVING

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## Matching Residents to Hospitals

- **Goal:** Given a set of preferences among hospitals and medical school students, design a *self-reinforcing* admissions process.
- Applicant  $a$  and hospital  $h$  are *unstable* if
  - $a$  prefers  $h$  to its assigned hospital
  - $h$  prefers  $a$  to one of its admitted students
- **Stable assignment:** Assignment with no unstable pairs
  - No incentive for some pair of participants to undermine assignment by joint action
    - Unstable pair could each improve their situation by swapping with current assignment
    - Self-reinforcing

What details make this problem tricky?  
What info do we need to solve problem?

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## Stable Matching Problem

*Simplified version of resident-matching problem*

- **Goal:** Given  $n$  men and  $n$  women, find a “suitable” matching
  - Participants rank members of opposite sex
  - Each man ranks women in order of preference
  - Each woman ranks men in order of preference

	favorite ↓		least favorite ↓		favorite ↓		least favorite ↓	
	1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>		1 <sup>st</sup>	2 <sup>nd</sup>	3 <sup>rd</sup>	
Xavier	Amy	Bertha	Clare		Amy	Yancey	Xavier	Zeus
Yancey	Bertha	Amy	Clare		Bertha	Xavier	Yancey	Zeus
Zeus	Amy	Bertha	Clare		Clare	Xavier	Yancey	Zeus

Men's Preference Profile                      Women's Preference Profile

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## Stable Matching Goals

- **Perfect matching:** everyone is matched monogamously
  - Each man is paired with exactly one woman
  - Each woman is paired with exactly one man
- **Stability:** no incentive for some pair of participants to undermine assignment by joint action
  - An *unmatched* pair  $m-w$  is **unstable** if man  $m$  and woman  $w$  prefer each other to current partners
  - Unstable pair  $m-w$  could each improve by eloping
- **Stable matching:** perfect matching with no unstable pairs

### Stable matching problem:

Given the preference lists of  $n$  men and  $n$  women, find a stable matching if one exists.

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## Analyzing Stability

**Instable:**  $m$  prefers  $w$  to his woman;  $w$  prefers  $m$  to her man

- Is pairing X-C, Y-B, Z-A stable?

	favorite ↓ 1 <sup>st</sup>		least favorite ↓ 3 <sup>rd</sup>		favorite ↓ 1 <sup>st</sup>		least favorite ↓ 3 <sup>rd</sup>
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Xavier	Amy	Bertha	Clare	Amy	Yancey	Xavier	Zeus
Yancey	Bertha	Amy	Clare	Bertha	Xavier	Yancey	Zeus
Zeus	Amy	Bertha	Clare	Clare	Xavier	Yancey	Zeus

Men's Preference Profile                      Women's Preference Profile

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## Analyzing Stability

- Is pairing X-C, Y-B, Z-A stable?
- No. Bertha and Xavier prefer each other

	favorite ↓ 1 <sup>st</sup>	2 <sup>nd</sup>	least favorite ↓ 3 <sup>rd</sup>
Xavier	Amy	Bertha	Clare
Yancey	Bertha	Amy	Clare
Zeus	Amy	Bertha	Clare

*Men's Preference Profile*

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Amy	Yancey	Xavier	Zeus
Bertha	Xavier	Yancey	Zeus
Clare	Xavier	Yancey	Zeus

*Women's Preference Profile*

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## Stable Matching Problem

**Instable:**  $m$  prefers  $w$  to his woman;  $w$  prefers  $m$  to her man

- Is pairing X-A, Y-B, Z-C stable?
- Yes.

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*Women's Preference Profile*

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## Any Questions?

- What are you wondering about this problem/its solution at this point?

## Any Questions?

- What are you wondering about this problem/its solution at this point?
- Hopefully:
  - Is there a stable matching for every pair of preference lists?
  - If so, is there an algorithm to find the stable matching?
  - Can we be fair in the matching? (preferences)
  - Will the matching always be the same?

## Thoughts on Solving Problem

- What do we need to solve the problem?
- What do we know?
- Where should the state start?
- What are some initial ideas about approaches?

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## Thoughts on Solving Problem

- Initially, no one is matched
- Pick an arbitrary man and have him match with his favorite woman.
  - Are we guaranteed that pair will be part of a stable matching?
- Should a woman accept her first offer? If not, what should she do?
- When are we done? Do we need to consider all combinations?

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## Propose-And-Reject Algorithm

[Gale-Shapley 1962]

- Intuitive method that guarantees finding a stable matching

```

Initialize each person to be free
while some man is free and hasn't proposed to every woman
  Choose such a man m
  w = 1st woman on m's list to whom m has not yet proposed
  if w is free
    assign m and w to be engaged
  else if w prefers m to her fiancé m'
    assign m and w to be engaged and m' to be free
  else
    w rejects m
  
```

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## Applying the Algorithm

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*Women's Preference Profile*

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## Applying the Algorithm

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```

## Assignments

- Review Chapter 1
- Journal due Monday
  - Preface, Chapter 1.1
  - Check out the content requirements for the journal entries