

### Objectives

- Wrap-up Dijkstra's Algorithm
- Minimum Spanning Tree

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### Review: Greedy Algorithms and Dijkstra's Algorithm

- What are greedy algorithms?
- How are some strategies to prove that greedy algorithms are optimal?
- What was the greedy algorithm to find the shortest path in a weighted directed graph?

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### Review

- When we have a problem about finding the shortest path, what algorithm should we think about applying?
- BFS or Dijkstra's
  - Difference: Dijkstra's when edges have positive (and different) weights

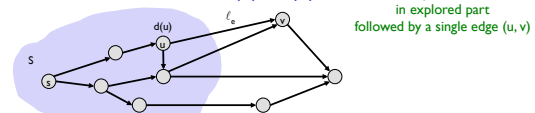
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### Dijkstra's Algorithm

1. Maintain a set of **explored nodes S**
  - Keep the shortest path distance  $d(u)$  from  $s$  to  $u$
2. Initialize  $S = \{s\}$ ,  $d(s) = 0$ ,  $\forall u \neq s, d(u) = \infty$
3. Repeatedly choose unexplored node  $v$  which minimizes
 
$$\pi(v) = \min_{e = (u,v) : u \in S} d(u) + \ell_e$$
  - Add  $v$  to  $S$  and set  $d(v) = \pi(v)$



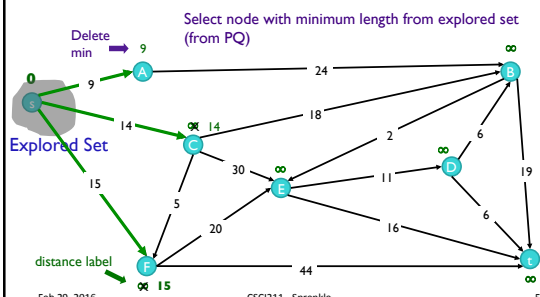
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### Dijkstra's Shortest Path Algorithm

$S = \{s\}$   
 $PQ = \{A, C, F, B, D, E, t\}$



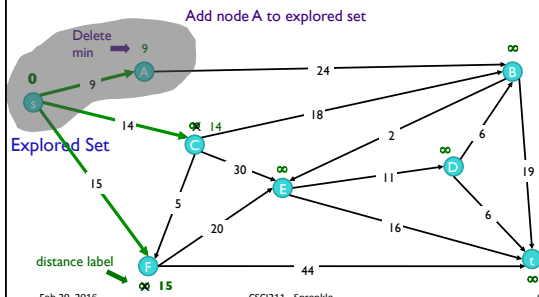
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### Dijkstra's Shortest Path Algorithm

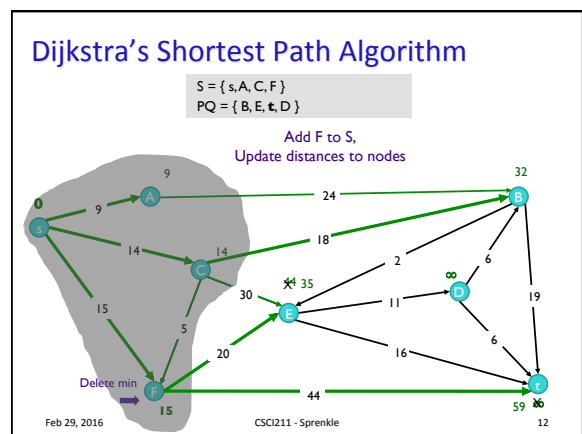
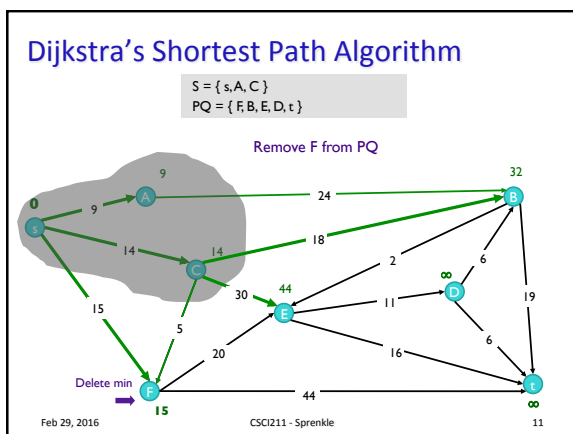
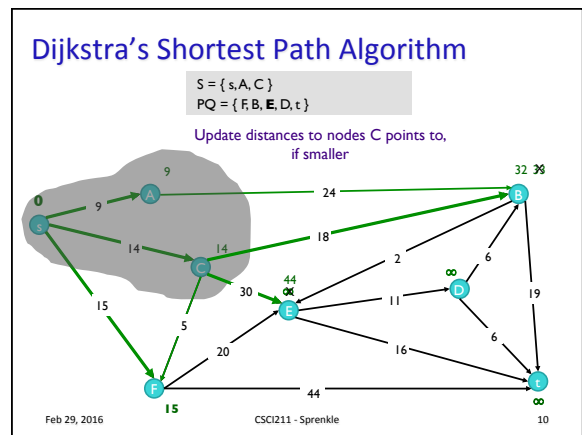
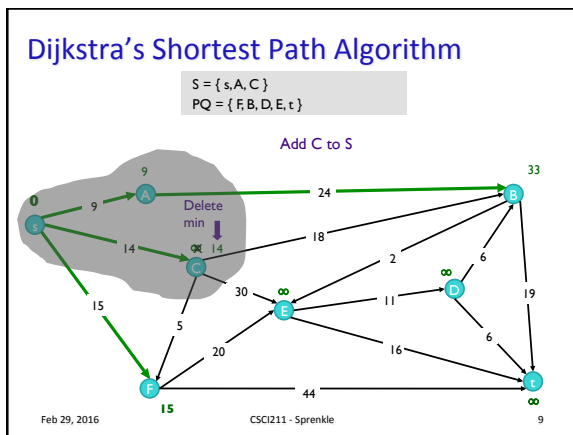
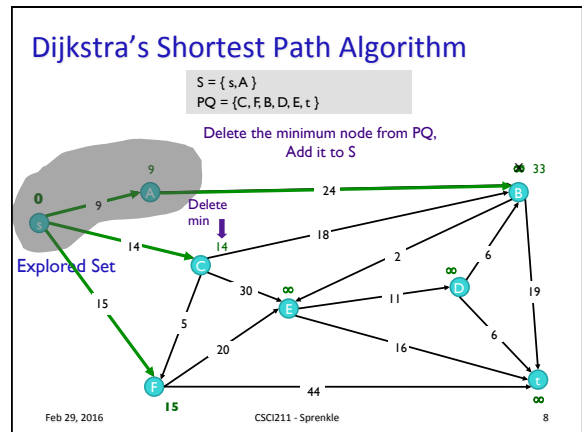
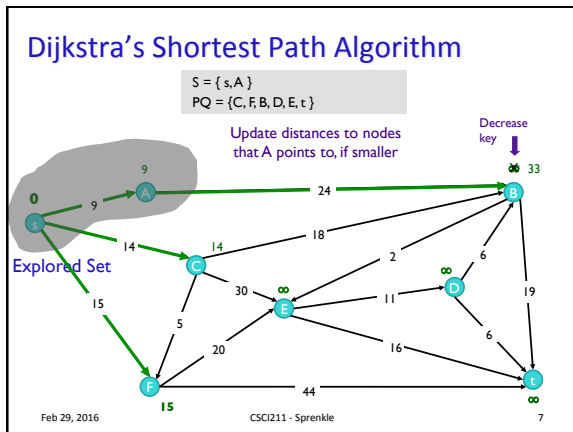
$S = \{s, A\}$   
 $PQ = \{C, F, B, D, E, t\}$

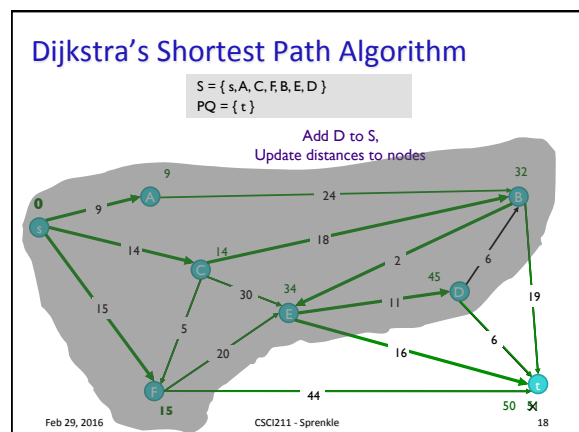
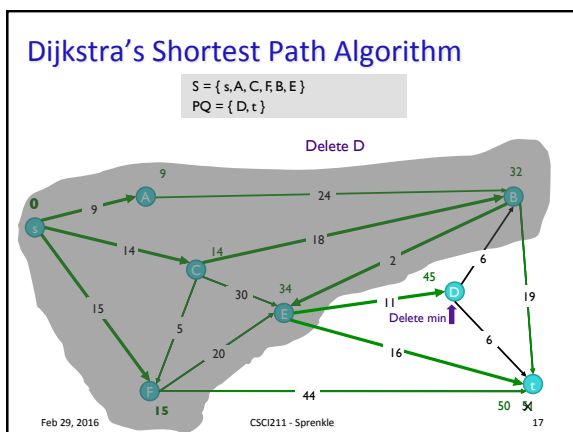
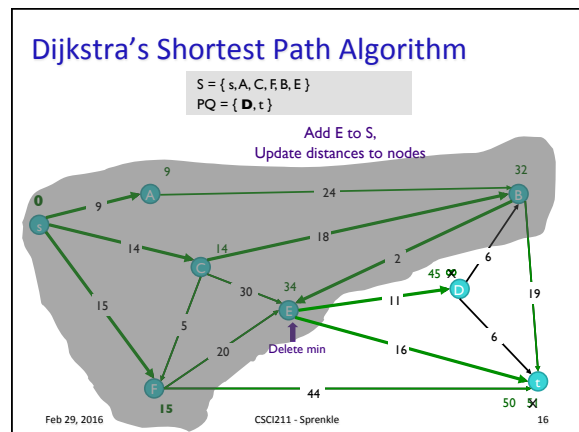
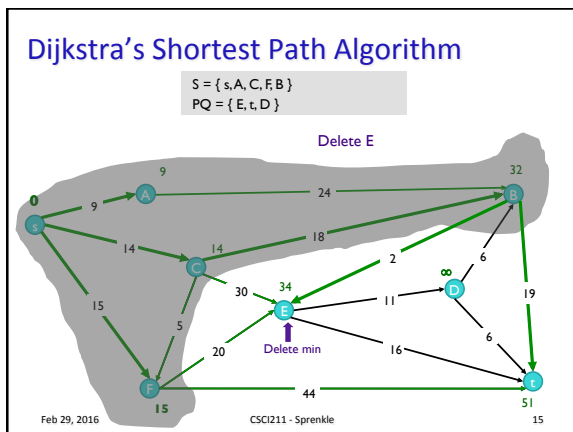
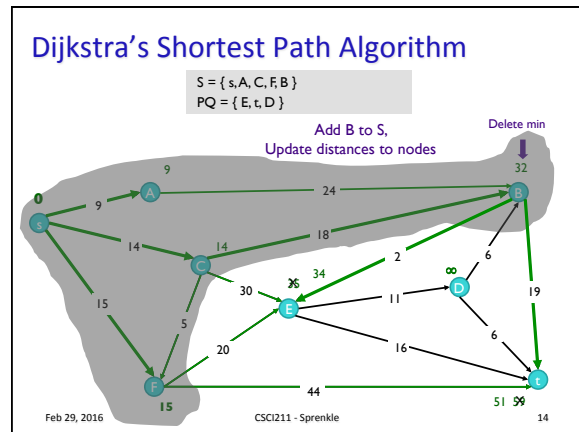
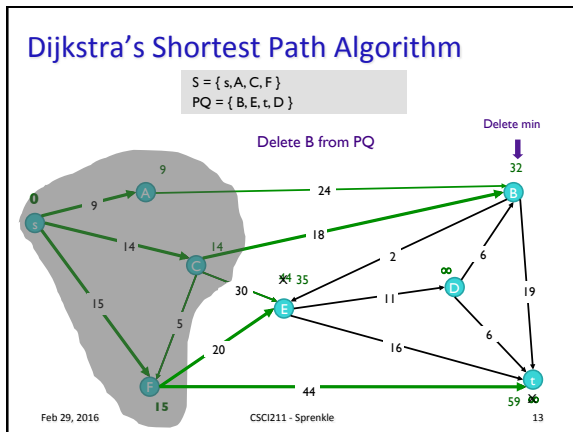


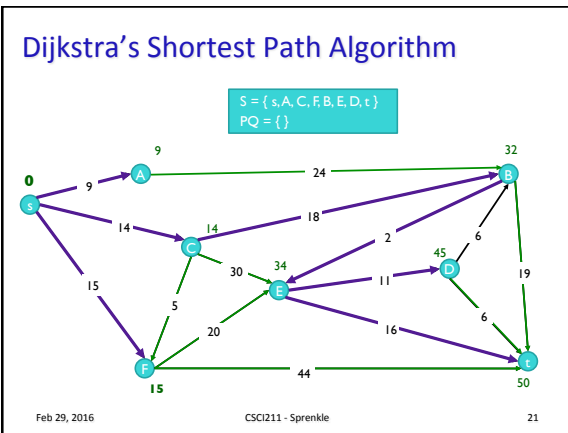
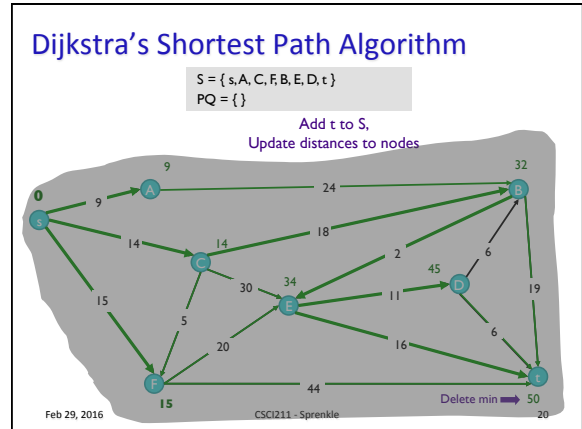
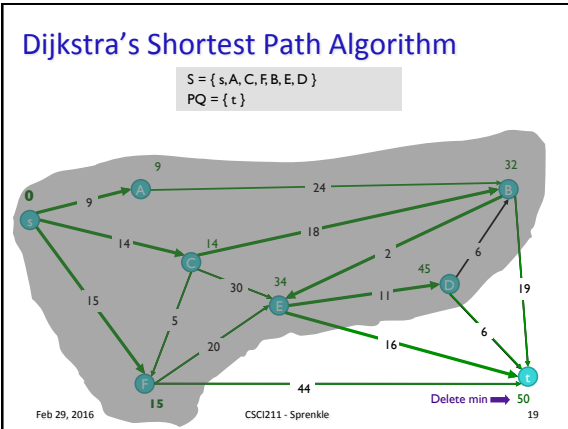
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### Dijkstra's Algorithm: Proof of Correctness

- **Invariant.** For each node  $u \in S$ ,  $d(u)$  is the length of the shortest  $s$ - $u$  path
- **Pf.** (by induction on  $|S|$ )
- **Base case:**  $|S|=1$  ...
- **Inductive hypothesis?**
- **Next step?**

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### Dijkstra's Algorithm: Proof of Correctness

- **Prove:** For each node  $u \in S$ ,  $d(u)$  is the length of the shortest  $s$ - $u$  path
- **Pf.** (by induction on  $|S|$ )
- **Base case:** For  $|S| = 1$ ,  $S=\{s\}$ ;  $d(s) = 0$  ✓
- **Inductive hypothesis:**  
 Assume true for  $|S| = k$ ,  $k \geq 1$ 
  - Grow  $|S|$  to  $k+1$
  - Greedy: Add node  $v$  by  $u \rightarrow v$
  - What do we know about  $s \rightarrow u$ ?
  - Why didn't we pick  $y$  as the next node?
  - What can we say about other  $s \rightarrow v$  paths?

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### Dijkstra's Algorithm: Proof of Correctness

- **Prove:** For each node  $u \in S$ ,  $d(u)$  is the length of the shortest  $s$ - $u$  path
- **Pf.** (by induction on  $|S|$ )
- **Inductive hypothesis:** Assume true for  $|S| = k$ ,  $k \geq 1$ 
  - Let  $v$  be the next node added to  $S$  by Greedy, and let  $u \rightarrow v$  be the chosen edge
  - The shortest  $s \rightarrow u$  path plus  $u \rightarrow v$  is an  $s \rightarrow v$  path of length  $\pi(v)$
  - Consider any  $s \rightarrow v$  path  $P$ . It's no shorter than  $\pi(v)$ .
  - Let  $x \rightarrow y$  be the first edge in  $P$  that leaves  $S$ , and let  $P'$  be the subpath to  $x$ .
  - $P$  is already too long as soon as it leaves  $S$ .

In terms of inequalities:

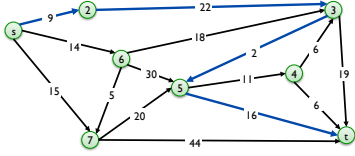
$$\ell(P) \geq \ell(P') + \ell(x,y) = d(x) + \ell(x,y) \geq \pi(y) \geq \pi(v)$$

↑ nonnegative weights      ↑ inductive hypothesis      ↑ defn of  $\pi(y)$       ↑ Dijkstra chose  $v$  instead of  $y$

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### Discussion: Dijkstra's Algorithm

- Why does the algorithm break down if we allow negative weights/costs on edges?



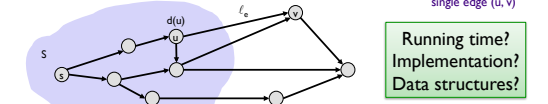
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### Dijkstra's Algorithm: Analysis

- Maintain a set of explored nodes  $S$ 
  - Know the shortest path distance  $d(u)$  from  $s$  to  $u$
- Initialize  $S=\{s\}$ ,  $d(s)=0$ ,  $\forall u \neq s, d(u)=\infty$
- Repeatedly choose unexplored node  $v$  which minimizes  $\pi(v) = \min_{e=(u,v): u \in S} d(u) + \ell_e$ 
  - Add  $v$  to  $S$  and set  $d(v) = \pi(v)$



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### Dijkstra's Algorithm: Analysis

- Maintain a set of explored nodes  $S$ 
  - Keep the shortest path distance  $d(u)$  from  $s$  to  $u$
- Initialize  $S=\{s\}$ ,  $d(s)=0$ ,  $\forall u \neq s, d(u)=\infty$
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  - Add  $v$  to  $S$  and set  $d(v) = \pi(v)$

PQ Operation	RT of Op	# in Dijkstra
Insert		
ExtractMin		
ChangeKey		
IsEmpty		
<b>Total</b>		

- How long does each operation take?
- How many of each operation?

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### Dijkstra's Algorithm: Implementation

- For each unexplored node, explicitly maintain  $\pi(v) = \min_{e=(u,v): u \in S} d(u) + \ell_e$ 
  - Next node to explore = node with minimum  $\pi(v)$ .
  - When exploring  $v$ , for each incident edge  $e = (v, w)$ , update  $\pi(w) = \min\{\pi(w), \pi(v) + \ell_e\}$ .
- Efficient implementation.** Maintain a priority queue of unexplored nodes, prioritized by  $\pi(v)$

PQ Operation	RT of Op	# in Dijkstra
Insert	$\log n$	$n$
ExtractMin	$\log n$	$n$
ChangeKey	$\log n$	$m$
IsEmpty	1	$n$
<b>Total</b>		$m \log n$

**$O(m \log n)$**

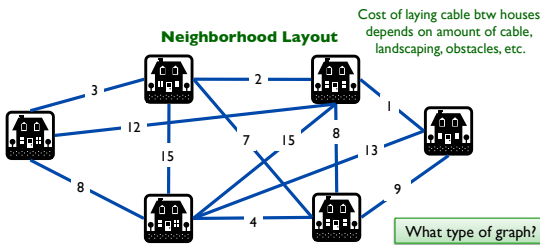
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### Laying Cable

- Comcast wants to lay cable in a neighborhood
  - Reach all houses
  - Least cost



Cost of laying cable btw houses depends on amount of cable, landscaping, obstacles, etc.

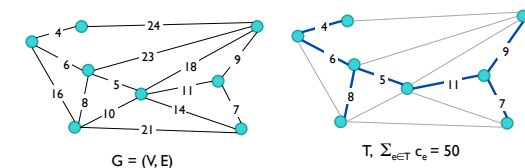
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### Minimum Spanning Tree (MST)

- Spanning tree:** spans all nodes in graph
- Given a connected graph  $G = (V, E)$  with positive edge weights  $c_e$ , an **MST** is a subset of the edges  $T \subseteq E$  such that  $T$  is a **spanning tree** whose **sum of edge weights is minimized**



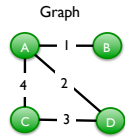
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### Examples

Identify **spanning trees** and which is the **minimal** spanning tree.



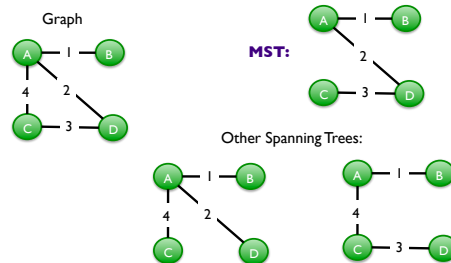
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### Examples

Identify **spanning trees** and which is the **minimal** spanning tree.



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### MST Applications

- Network design
  - telephone, electrical, hydraulic, TV cable, computer, road
- Approximation algorithms for NP-hard problems
  - traveling salesperson problem, Steiner tree
- Indirect applications
  - max bottleneck paths
  - image registration with Renyi entropy
  - learning salient features for real-time face verification
  - reducing data storage in sequencing amino acids in a protein
  - model locality of particle interactions in turbulent fluid flows
- Cluster analysis
 

<http://www.ics.uci.edu/~epstein/gina/mst.html>

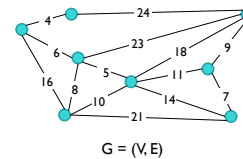
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### Ideas for Solutions?

- Cayley's Theorem. There are  $n^{n-2}$  spanning trees
  - ↑ can't solve by brute force
- Towards a solution...
  - Where to start?



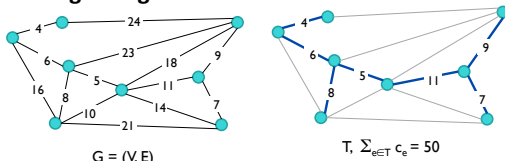
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### Minimum Spanning Tree

- Given a connected graph  $G = (V, E)$  with positive edge weights  $c_e$ , an **MST** is a subset of the edges  $T \subseteq E$  such that  $T$  is a **spanning tree** whose **sum of edge weights is minimized**



Why must the solution be a tree?

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### Looking ahead

- Wiki – “front matter” of 4, 4.1, 4.2, 4.4
- Problem Set 5 due Friday

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